

Department: Inspection	Segment: All
Circular No: MSE/INSP/11707/2022	Date : April 11, 2022

Subject: Risk Based Supervision (RBS) - Submission of information for the period April 01, 2021 – March 31, 2022 (FY 2021-22)

To All Trading Members,

Members are requested to submit the information / data towards the Risk Based Assessment for the period April 01, 2021 – March 31, 2022 to the Exchange. The particulars of such information / data sought in this regard are enclosed as Annexure-A. The same has to be submitted to the Exchange electronically through the Inspection module in the Member portal latest by May 31, 2022.

The link <http://mseconnect.msei.in/enhancedsupervision> for submission of the information/data will be activated from April 13, 2022. The procedure for submitting the same through the Inspection module in the Member portal is given in Annexure-C of this circular.

It is to be noted that the submission of RBS data is mandatory for all active Members of the Exchange (i.e. those who have executed/cleared even a single trade during the aforementioned period i.e. April 01, 2021 – March 31, 2022). Further, the data collated from the Members/Exchange towards RBS is shared with SEBI and in case of any non-submission/delayed submission by a Member; disciplinary action as prescribed in Annexure B will be initiated.

All Members are advised to take note of the above and comply.

**For and on behalf of
Metropolitan Stock Exchange of India Limited**

**Mahendra Choudhari
AVP – Inspection and Membership Department**

In case of any query kindly contact Inspection Department on 6112 9000 or mail on compliancemsx@msei.in

Metropolitan Stock Exchange of India Limited