NCDEX Limited *(Formerly Known as National Commodity & Derivatives Exchange Limited)*

Circular to all trading and clearing members of the Exchange
Circular No. : NCDEX/MEMBER INSPECTION-034/2026
Date : November 19, 2026
Subject : Reminder – Submission of data pertaining to Internal Audit Report

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This is with reference to the SEBI directives and Exchange Circular No. NCDEX/MEMBER INSPECTION-030/2026 dated October 22, 2026, Members are required to submit the Internal Audit Report for the half-year ended September 30, 2026 on or before due date **November 30, 2026**.

All Trading Members of the Exchange are required to carry out complete Internal Audit for the half year ended for the period April 01, 2026, to September 30, 2026 and submit the report electronically through the NOSS Portal_https://noss.ncdex.com/exchange.
Members are reminded to submit the aforesaid submissions (if not submitted) on or before due date to avoid any disciplinary action. Non-submission / delayed submission / deficiencies and non-compliances shall attract penalty / disciplinary actions as per Exchange Circular no. NCDEX MEMBER INSPECTION-009/2026 dated April 17, 2026.

For ease of understanding, a detailed user manual has been provided as 'User Manual for submission of Internal Audit Reports', available for download in the 'Downloads' section of our website. (https://ncdex.com/quick_links/download).

Link for Members - https://ncdex.com/public/uploads/downloads/1779715100.pdf

Link for Auditor - https://ncdex.com/public/uploads/downloads/1779715154.pdf

The Internal Auditor shall submit the following (either it is integrated in NOSS or it has to be digitally signed and attached in NOSS as applicable):
- Internal Audit Certificate (Annexure I with sign as applicable and attach in NOSS)
- Internal Audit Checklist (Annexure II – integrated in NOSS – on screen)
- Samples Selected (Annexure IV A to AA in excel or PDF with digisign as applicable and attach in NOSS)
- Annexure VI with applicable signature in PDF and attach in NOSS
- Annexure VII (applicable for QSBs - integrated in NOSS – on screen)

Registered Office: Ackruti Corporate Park, 1st Floor, Near G.E. Garden, LBS Road, Kanjurmarg West, Mumbai 400 078, India. CIN No. U51909MH2003PLC140116
Phone: +91-22-6640 6789, Fax +91-22-6640 6899, Website: https://www.ncdex.com

- Annexure IX (where applicable and attach in NOSS).

Submission of all the aforesaid Annexures, as applicable, is mandatory for all the members and any incomplete submission will result in initiation of relevant action as mentioned above

Members are advised to ensure compliance with the above regulatory requirements.

In case of any queries/clarifications, you may reach us on the following contact details.

Purpose	Contact Nos.	Email ID
Submission of internal audit report in the NOSS	1800-26-62339/ 9082573597	auditninspection@ncdex.com iar_submission@ncdex.com

Members are advised to take note of the above and comply.

For and on behalf of
NCDEX Limited

Pranesh Shetty
Senior Vice President – Inspection & Enforcement

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