NOTICE

Notice No.	20261116-20
Notice Date	16 Nov 2026
Category	Compliance
Segment	General
Department	DOSS
Subject	Submission of Action Taken Report (ATR) for Non-Compliance Observed in AP Inspection through BEFS (BSE Electronic Filing System)
Attachments	Annexure_A

This is with reference to Exchange Notice No. 20260821-26 dated August 21, 2026, regarding action taken report for observations reported in inspection of Authorised Persons.

Members may note that the Action Taken Report (ATR) in respect of non-compliances observed during AP inspections for a quarter shall be submitted within two months from the closure of the AP Inspection Report submission period.

Submission Timelines

Inspections Undertaken During the Financial Year	Due Date of AP Inspection Reporting	Due Date of ATR Reporting
Inspections done during the Quarter-1	July 31, XXXX	Sep 30, XXXX
Inspections done during the Quarter-2	Oct 31, XXXX	Dec 31, XXXX
Inspections done during the Quarter-3	Jan 31, XXXX	Mar 31, XXXX
Inspections done during the Quarter-4	April 30, XXXX	Jun 30, XXXX

Accordingly, Members are requested to submit ATR in respect of non-compliances observed during AP inspections for the quarter ended September 30, 2026 (Quarter -2), on or before December 31, 2026, through BEFS (BSE Electronic Filing System).

The BEFS platform is enabled for submission of the Action Taken Report (ATR). The user manual explaining the reporting process is enclosed as **Annexure A.**

All members are requested to take note of the above and ensure timely submission of the ATR within the stipulated timeline.

In case of any queries/clarifications, you may reach us on the following contact details:

Contact Nos	Email Id
022-69158540	Bse.inspection@bseindia.com
022-45720640	
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For and on behalf of BSE Ltd.

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