



Circular No.: MCX/COMP/558/2026

October 01, 2026

Risk Based Supervision - Submission of Information for the period April 01, 2026 to September 30, 2026

All Members of the Exchange are required to submit information / data pertaining to Risk Based Supervision (RBS) for the period **April 01, 2026, to September 30, 2026**, to the Exchange latest by **November 30, 2026**. The particulars as sought by the Exchange are specified in **Annexure - A**, enclosed herewith.

All information / data pertaining to RBS must be submitted **electronically only**; no physical copies need to be submitted to the Exchange. The link for submitting the information / data is as under:

<https://member.mcxindia.com>

The procedure to submit the information / data sought by the Exchange is outlined in 'Help file' as **Annexure - B**.

Members may note that the submission of information / data pertaining to RBS is **mandatory for all active Members** of the Exchange (i.e., Members who have executed even a single trade during the reporting period).

Further, the information / data collected from Members for RBS will also be shared with **SEBI**. Any false or incorrect submission of data will be viewed seriously by the Exchange.

In the event of non-submission or delayed submission by any Member, disciplinary actions as prescribed in **Annexure - C** will be initiated.

All Members are requested to ensure compliance with the above regulatory requirements.

For and on behalf of
Multi Commodity Exchange of India Limited

Baiju Budhwani
Head of Department
(Inspection and Enforcement)

Enclosed: Annexure A - Information / data to be submitted
Annexure B – Help File - Procedure for submission on Member Portal
Annexure C - Penalty structure for Non-Submission / Delayed Submission of RBS information

Kindly contact Inspection & Enforcement Team on 022-66494040 or send an email at customersupport@mcxindia.com and inspection@mcxindia.com for further clarification.

----- Corporate office -----

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Annexure – A

RISK TEMPLATE FOR MEMBERS FOR THE ASSESMENT PERIOD APRIL 01, 2026 - September 30, 2026		
Sr. No.	Particulars	Description
A	Details of Branches	
1	Total no. of Branches as on last day of Assessment Period	Total Number of Branches of the Member (Excluding AP) as on assessment period
a	No. of Branches with Terminals	
b	No. of Branches without Terminals and having client servicing facility	
2	Number of Branches Inspected by Member during the Assessment Period	Count of Branches Inspected by Member during the assessment period
a	No. of Branches with Terminals	
b	No. of Branches without Terminals and having client servicing facility	
B	Details of Branches / AP offices with order placement facility	
3	Number of branches having order placement facility	Count of branches as on the assessment period as per SEBI circular SEBI/HO/MIRSD/DOP1/CIR/P/2018/54 dated March 22, 2018.
4	Number of APs offices having order placement facility	Count of APs offices of the member as on the assessment period as per SEBI circular SEBI/HO/MIRSD/DOP1/CIR/P/2018/54 dated March 22, 2018.
C	No. Internet trading clients	
5	Total Internet Trading Clients	Total number of registered clients who have been provided internet trading facility (IBT) as on assessment period.
D	No. of clients categorized as high risk clients e.g. PEPs, clients from countries mentioned in the FATF statements, NRIs, etc. registered as on assessment period - Total Number of Registered clients categorised by Member through the adoption of an appropriate client due diligence process as specified by the Prevention of Money Laundering Act, PMLA, 2002	
6	PEP	Politically Exposed Persons
7	FATF	Clients in high-risk countries identified in the Financial Action Task Force (FATF) statements published regularly.
8	NRI	Non-resident clients
9	MF/ Trusts	Mutual Funds/ Trusts Categorised as High Risk
10	Others	Others: - Charities, Non-Governmental Organizations (NGOs) and organizations

		receiving donations, Clients with dubious reputation, Companies offering foreign exchange offerings etc.
E	Other Details	
11	Total No. of employees, employed for broking operations	Total Number of people employed by the Member including contract staff for broking operations as on last day of Assessment period
12	Type of Insurance cover obtained by the Member.	The type of Insurance cover taken by the Member e.g. Stock Brokers Indemnity Policy, fire insurance etc. as on the Last day of assessment Period.
13	Amount of Insurance cover obtained by the Member. (Rs.)	The aggregate amount of all insurance cover obtained by the Member as on assessment period.
14	Brokerage income for the assessment period (in Rs.)	Gross brokerage revenue from broking operations across all exchanges.
15	Operating profit/ loss for the Member for the assessment period (in Rs.)	Profit / loss from broking operations before tax (For all Exchanges)
16	Total amount of delayed payment charges collected from the clients (Rs.)	Total Amount of late/delayed payment charges/ interest levied on clients during the assessment period (across all Exchanges). (Excluding interest charged under the Margin Trading Facility)
17	Number of STRs filed during the Assessment Period	Count of the Suspicious Transaction Reports filed with FIU-India
F	Loans given to group companies/ associates/ subsidiaries/ key persons during the assessment period– details, secured/ unsecured and amount involved.	
18	Details of loans	Details of loans to group companies/ associates/ subsidiaries / Key person/other entity
19	Secured loans (In Rs.)	Total amount of secured loans given by the Member to group companies/ associates/ subsidiaries
20	Unsecured loans (In Rs.)	Total amount of un-secured loans given by the Member to group companies/ associates/ subsidiaries
G	Details of action taken by Police or any Foreign regulator	
21	Number and details of actions initiated/ taken/ pending against Member or its employees by police w.r.t. frauds, forgery, etc.	
	No. of instances of Actions initiated/pending	Number of total proceedings initiated by Police (if any) for fraud, forgery etc. during the assessment period

	No. of instances of Actions taken	Number of total action by Police (if any) during the assessment period
22	Whether any disciplinary action initiated/ pending/ taken, details and penalty levied by any foreign regulator against the Member for regulatory non-compliances in their jurisdiction ?	
	No. of Instances	Number of total non-compliances observed by foreign regulator (if any) during the assessment period
	Penalty levied (In Rs)	Penalty levied for the non-compliances observed by foreign regulator (if any) during the Assessment Period
H	No. of Instances of sharing of client Information	
23	No of Instances of sharing client KYC or trade information with associate/ group company/ third party.	Number of Instances of sharing of client information without his express consent during the assessment period
I	Disciplinary actions against key persons of the Member	
24	Details of disciplinary actions initiated/ taken against key persons of the Member by SEBI, or any other regulatory authority during the assessment period viz. name of concerned person, Designation/Relation, PAN, type of action and penalty if any. Also, specify the consent amount, if any.	
	Details of disciplinary actions initiated/ taken	Details of disciplinary actions against key persons during the assessment period
	No. of Instances	Number of actions taken against the key persons of the Member during the assessment period.
	Penalty levied/ consent amount (In Rs.)	Penalty levied/consent amount for such disciplinary actions initiated/taken
J	Actions initiated/ taken/ pending against the stock broker, its employees, key persons*, controlling person	
25	Number of instances and amount involved, if any, in actions initiated/ taken/ pending against the stock broker, its employees, key persons*, controlling person by SEBI, SAT, Courts, Consumer Forums, stock exchanges, other regulators, etc. pertaining to	

	securities market operations in the Assessment Period	
	No. of Instances of non-compliances	No. of Instances of non-compliances
	Penalty levied (In Rs)	Penalty levied (In Rs)

Note: *"Key person" means and includes directors, promoters, compliance officer

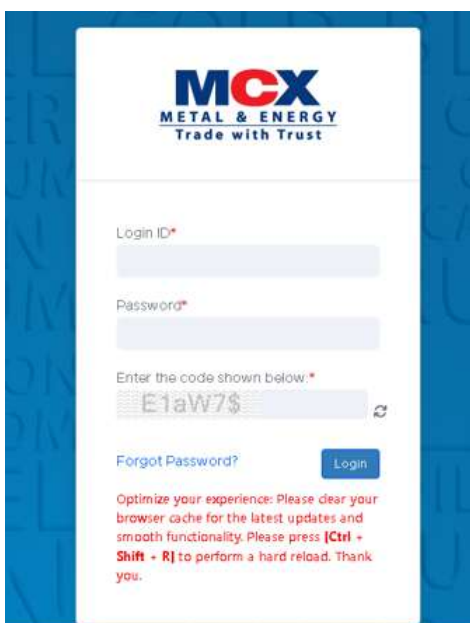
ANNEXURE B

Help File for Risk Based Supervision

(Please note that you have to digitally sign and submit)

- 1) Below is the link & the screenshot of the page from where you can login for submission of data pertaining to Risk Based Supervision. Kindly use Google Chrome as your web browser for submission of details pertaining to Risk Based Supervision.

<https://member.mcxindia.com>



MCX
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Login ID*

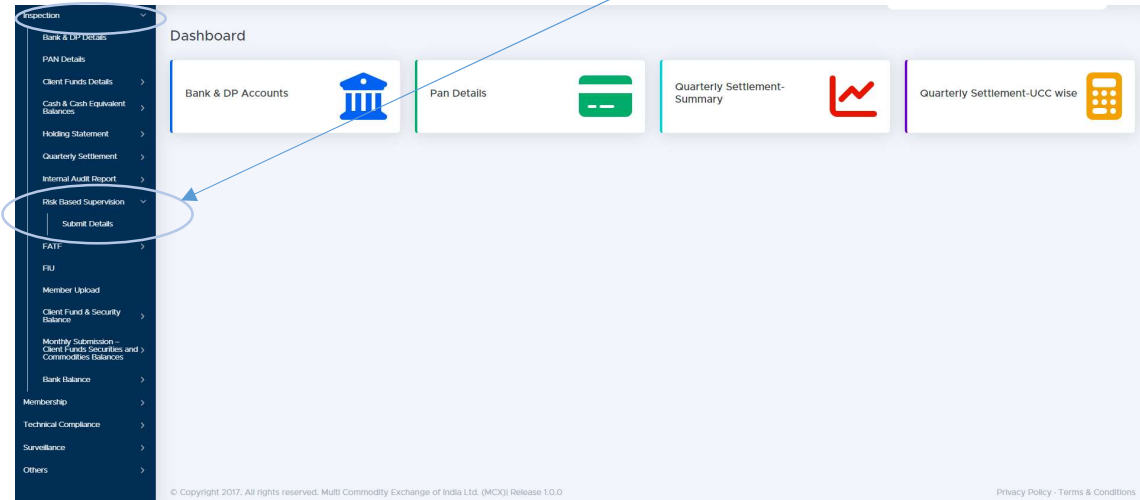
Password*

Enter the code shown below.*
E1aW7\$

[Forgot Password?](#)

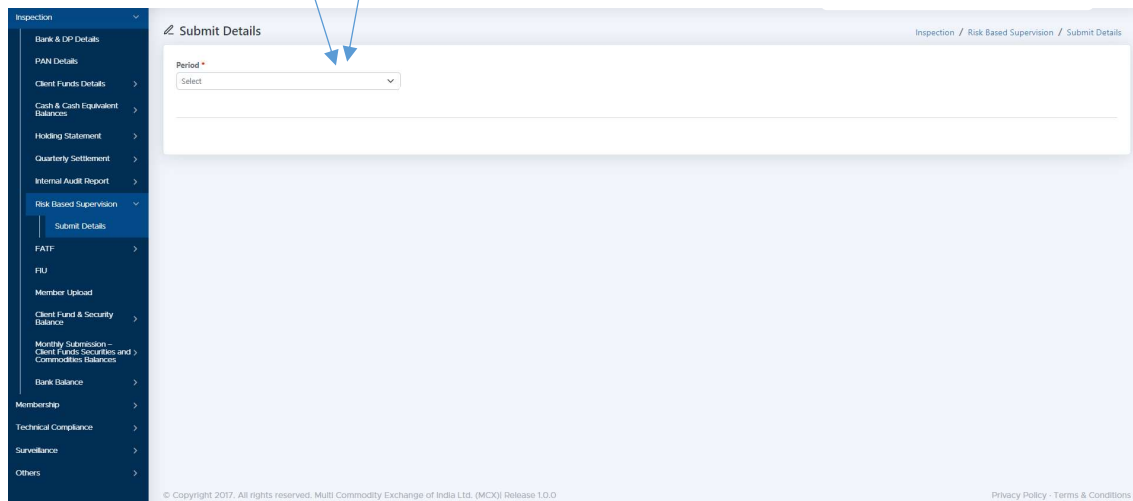
Optimize your experience: Please clear your browser cache for the latest updates and smooth functionality. Please press **Ctrl + Shift + R** to perform a hard reload. Thank you.

2) After login go to Inspection tab → Risk Based Supervision → Submit Details



3) After Clicking the Submit details tab, below mentioned Screen will appear.

Select the **year & period** for which you have to make the submissions.



- 4) After selection of period, user will be directed to the below displayed web page where user has to fill all the details.

Inspection / Risk Based Supervision / Submit Details

Submit Details

Period *

Commodity Loans given to group companies/ associates/ subsidiaries/ key persons during the period-- details, secured/ unsecured and amount involved Disciplinary Action Action against Broker

Details of Branches

Total number of Branches as on last day of the Assessment period

No. of Branches with Terminals

No. of Branches without Terminals and having client servicing facility

Number of Branches Inspected by Member during the Assessment Period

No. of Branches with Terminals

No. of Branches without Terminals and having client servicing facility

Details of Branches / APs offices with order placement facility

Number of branches having order placement facility

- 5) While filling details in sub tabs as circled in the below displayed image (Figure 1) a new page will open as shown in Figure 2.

Inspection

Bank & DP Details

PAN Details

Client Funds Details

Cash & Cash Equivalent Balances

Holding Statement

Quarterly Settlement

Internal Audit Report

Risk Based Supervision

Submit Details

FATF

FIU

Member Upload

Client Fund & Security Balance

Monthly Submission -

Period *

Commodity Loans given to group companies/ associates/ subsidiaries/ key persons during the period-- details, secured/ unsecured and amount involved Disciplinary Action Action against Broker

Show 10 entries Search:

Sr.No.	Type Of Loan	Type of the entity/ Person	Name of the entity/person to whom loan given	Amount (Rs.)	Whether active in financial market
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Showing 0 to 0 of 0 entries Previous Next

Generate PDF Download Utility Generate Excel Choose File No file chosen Submit

Figure 1

6) After filling data in the image displayed below, **click the (+) tab,**

- **to save the data entered,** and
- **to make any new entry** i.e. suppose you have to make two or more entries of data then you have to click (+) tab after every entry.

You will always see an empty row getting added after clicking the (+) tab TO SAVE THE DATA ENTERED (ONLY BY CLICKING + TAB the data filled till the earlier row will be saved by the system).

If you want to save and submit data request you to kindly click on the (+) tab,

Inspection

Period *

Commodity Loans given to group companies/ associates/ subsidiaries/ key persons during the period- details, secured/ unsecured and amount involved

Disciplinary Action Action against Broker

Show 10 entries Search:

Sr.No.	Type Of Loan	Type of the entity/ Person	Name of the entity/person to whom loan given	Amount (Rs.)	Whether active in financial market
No data available in table					
Select	Select			Select	

Showing 0 to 0 of 0 entries Previous Next

Generate PDF Download Utility Generate Excel Choose File No file chosen Submit

Figure 2

7) On clicking the **add (+) tab** and after entering the data in respective fields, a notification dialog box will pop up confirming that details inserted successfully.

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Inspection

Membership

Technical Compliance

Surveillance

Others

Inspect Pro

Help File

UPI Request Handle

Details inserted successfully.

OK

Period *

Commodity Loans given to group companies/ associates/ subsidiaries/ key persons during the period- details, secured/ unsecured and amount involved

Disciplinary Action Action against Broker

A:Details of Branches

Total number of Branches as on last day of the Assessment period

No. of Branches with Terminals

No. of Branches without Terminals and having client servicing facility

Number of Branches inspected by Member during the Assessment Period

No. of Branches with Terminals

No. of Branches without Terminals and having client servicing facility

B:Details of Branches / APs offices with order placement facility

Number of branches having order placement facility

Number of APs offices having order placement facility

D:No. Internet trading clients

Logout

8) Similarly, for other Sub tabs fill the data according to the below displayed images,

- Disciplinary Action

The screenshot shows the 'Submit Details' page for the 'Disciplinary Action' sub-tab. The left sidebar contains a navigation menu with options like 'Bank & DP Details', 'PAN Details', 'Client Funds Details', 'Cash & Cash Equivalent Balances', 'Holding Statement', 'Quarterly Settlement', 'Internal Audit Report', 'Risk Based Supervision', 'FATF', 'FIU', 'Member Upload', 'Client Fund & Security Balance', 'Monthly Submission - Client Funds Securities and Commodities Balances', 'Bank Balance', 'Voluntary Freezing/Blocking', and 'Reporting of Impersonation Cases'. The main content area has a breadcrumb trail 'Inspection / Risk Based Supervision / Submit Details'. At the top, there is a 'Period' dropdown menu. Below it, a tabbed interface shows 'Commodity' (Loans given to group companies/ associates/ subsidiaries/ key persons during the period- details, secured/ unsecured and amount involved), 'Disciplinary Action' (selected), and 'Action against Broker'. The 'Disciplinary Action' tab displays a table titled 'Details of disciplinary actions against key persons'. The table has columns: 'Sl.No.', 'Name of the Key Person', 'Designation of the Key person', 'Other Designation(if Applicable)', 'PAN No. of the Key Person', 'Type of Action', 'No. of Instances', and 'Penalty Invited/consent amount, where applicable(in Rs.)'. The table is currently empty, showing 'No data available in table'. Below the table, there are buttons for 'Generate PDF', 'Download Utility', and 'Generate Excel'. At the bottom, there is a 'Choose File' button, a text input field showing 'No file chosen', and a 'Submit' button.

- Action Against Broker

The screenshot shows the 'Submit Details' page for the 'Action Against Broker' sub-tab. The left sidebar is the same as in the previous image. The main content area has the same breadcrumb trail. The 'Period' dropdown menu is at the top. Below it, the tabbed interface shows 'Commodity' (Loans given to group companies/ associates/ subsidiaries/ key persons during the period- details, secured/ unsecured and amount involved), 'Disciplinary Action', and 'Action against Broker' (selected). The 'Action against Broker' tab displays a table titled 'Details of disciplinary actions against key persons'. The table has columns: 'Sl.No.', 'Mention whether the Action is Initiated / Taken against the Stock Broker, its Employees, Key Persons', 'Mention Action Taken by SEBI, SAT, Courts, Consumer Forums, Stock Exchanges, Other Regulators, etc.', 'No. of Instances', 'Amount (in Rs.)', and 'Whether Involving Client Assets'. The table is currently empty, showing 'No data available in table'. Below the table, there are buttons for 'Generate PDF', 'Download Utility', and 'Generate Excel'. At the bottom, there is a 'Choose File' button, a text input field showing 'No file chosen', and a 'Submit' button.

9) No mandatory field shall be left blank

Please note:

- User will not be able to **Proceed Further** without filling up data in all the mandatory fields.
- If any mandatory field is left blank and user clicks on **Proceed Further** button, the blank field will appear in red colour and simultaneously a notification will popup asking to provide data in field highlighted in red.

The screenshot shows the MCX portal interface. A notification popup is displayed in the center, stating: "All fields are mandatory. Kindly provide data for highlighted field in red." Below the text is an "OK" button. The background shows the "Risk Based Supervision" section with a "Submit Details" button highlighted. A blue arrow points from the notification popup to the "Submit Details" button.

10) At any point of time during filling the data if you wish to leave the page, you have the option to **save the data** so that next time when you resume to fill remaining data you can continue from where you left earlier. (**Kindly note: No modification shall be allowed to be done once the data is saved in the respective field**)

The screenshot shows the "Disciplinary actions against key persons of the Member" form. The form contains several sections with input fields for details, number of instances, and penalty levied. A blue arrow points from the "Save" button to the "Disciplinary Action" section. The "Save" button is located at the bottom right of the form, next to the "Proceed further" button. The footer of the page includes the copyright notice: "© Copyright 2017. All rights reserved. Multi Commodity Exchange of India Ltd. (MCX) Release 1.0.0" and the "Privacy Policy - Terms & Conditions" link.

11) Click on the button “Proceed further”, after the data is completely filled and saved

- in the main sheet, and
- all the Three sub tabs i.e. **Loans to group Companies, Disciplinary Action and Action against Broker (If applicable)**

The screenshot shows the MCX portal interface. On the left is a sidebar with various menu items. The main content area displays the 'Disciplinary actions against key persons of the Member' form. The form has several sections with input fields and buttons. A blue arrow points from the instruction text to the 'Proceed further' button at the bottom right of the form.

12) On clicking the button Proceed further, a Confirmation Dialog box will pop up which will ask to ensure the following things before finally proceeding further;

1. Once you click on “**Proceed Further**” the form will get locked and becomes non editable.
2. Check that you have submitted/ filled the information correctly in each cell.
3. Check that information, if any, in the sheets given for **Loan from group Companies/ Action against broker/ Disciplinary actions** is updated.
4. After “**Generating PDF**”, you have to **digitally sign it and upload it** for submitting it to the exchange.

The screenshot shows the MCX portal interface with a Confirmation dialog box overlaid. The dialog box contains the following text: 'Confirmation', 'Ensure that below points are taken care:', and a list of four instructions. A blue arrow points from the instruction text to the 'Proceed further' button in the dialog box.

13) After **“Proceed Further”** is clicked, user has to **Generate PDF**, download it and save it for digital signature and upload.

The screenshot shows the 'Internal Audit Report' form. The left sidebar contains a navigation menu with items like 'Risk Based Supervision', 'FATF', 'FRU', 'Member Upload', 'Client Fund & Security Balance', 'Monthly Submission', 'Bank Balance', 'Voluntary Freezing/Blocking', and 'Reporting of Impersonation Cases'. The main form area has several input fields for 'Penalty levied/ consent amount (in Rs.)', 'Actions initiated/ taken/ pending against the stock broker, its employees, key persons**, controlling person', and 'No. of instances of non-compliances'. There are buttons for 'Generate PDF', 'Download Utility', 'Generate Excel', 'Choose File', 'No file chosen', 'Submit', 'Proceed further', and 'Save'. A blue arrow points to the 'Generate PDF' button.

14) After generating PDF i.e. downloading the PDF file, follow below mentioned steps:

- Plug in your Digital Signature Dongle in the system
- Tap the **“Download Utility”** tab (button) to download the utility file for attaching the Digital signature to the PDF file.
- After downloading, extract the **“Digital Sign”** file and
- ‘Run’ (Double click) the **“Digital Sign”** file (File type would be **“Application”**) as shown in the below image.

This screenshot is identical to the one above, showing the 'Internal Audit Report' form. A blue arrow points to the 'Download Utility' button instead of the 'Generate PDF' button.

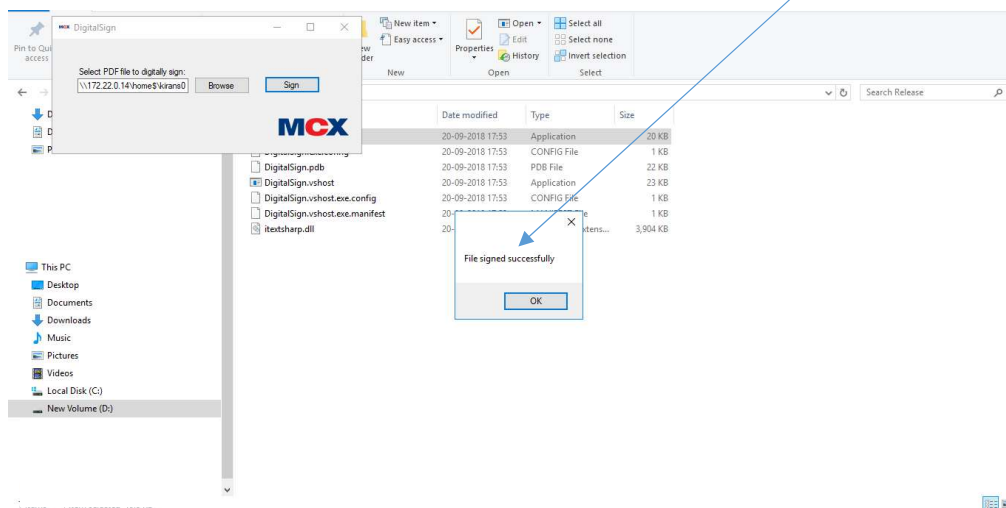
Name	Type	Compressed size	Password ...	Size	Ratio	Date modified
DigitalSign	Application	5 KB	No	11 KB	56%	14-07-2017 10:10
itextsharp	XML Document	420 KB	No	3,068 KB	87%	22-07-2014 12:32
itextsharp.dll	Application extension	1,335 KB	No	3,904 KB	66%	22-07-2014 12:32
WindowsFormsApplication2.exe.co...	CONHNG File	1 KB	No	1 KB	27%	13-04-2017 11:12

- **Kindly note that Digital Signature utility works in Dot Net frame work 4.0.**
- **User need to install Dot Net framework 4.0 to run the same**
- **Digital Signature to be used for signing the PDF document should be class 2 signature.**

15) Once member runs the application as explained above, pop up message box would appear on the screen as shown below.

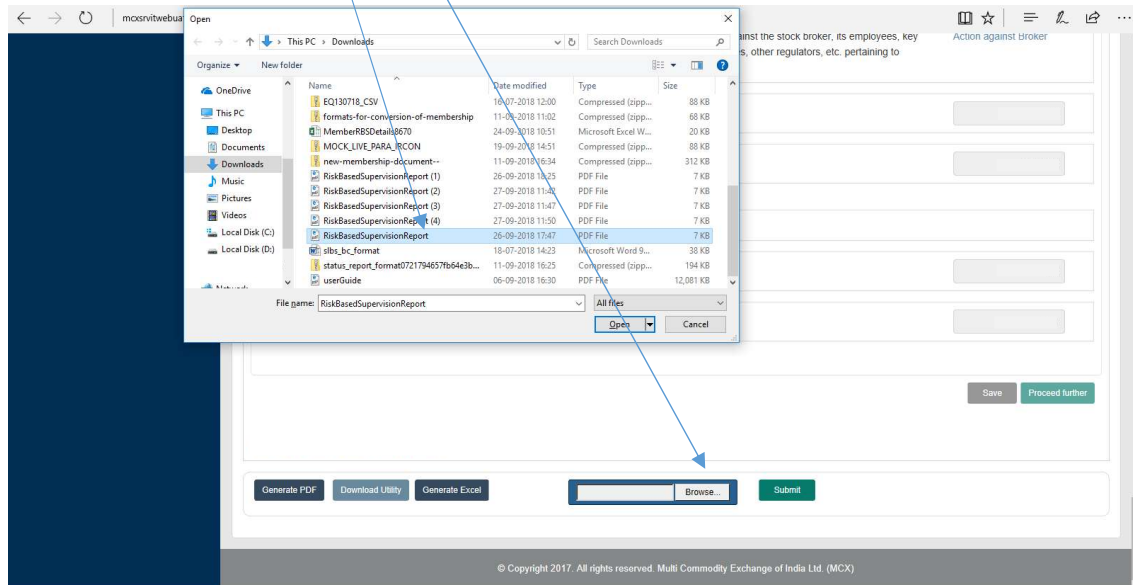


- a) Now click browse and search the location where the PDF document is generated
- b) Select File and click the **Sign button** as shown in screen above.
- c) System will ask for digital signature password.
- d) Once the password is entered, message is displayed, stating **"File Signed Successfully"**



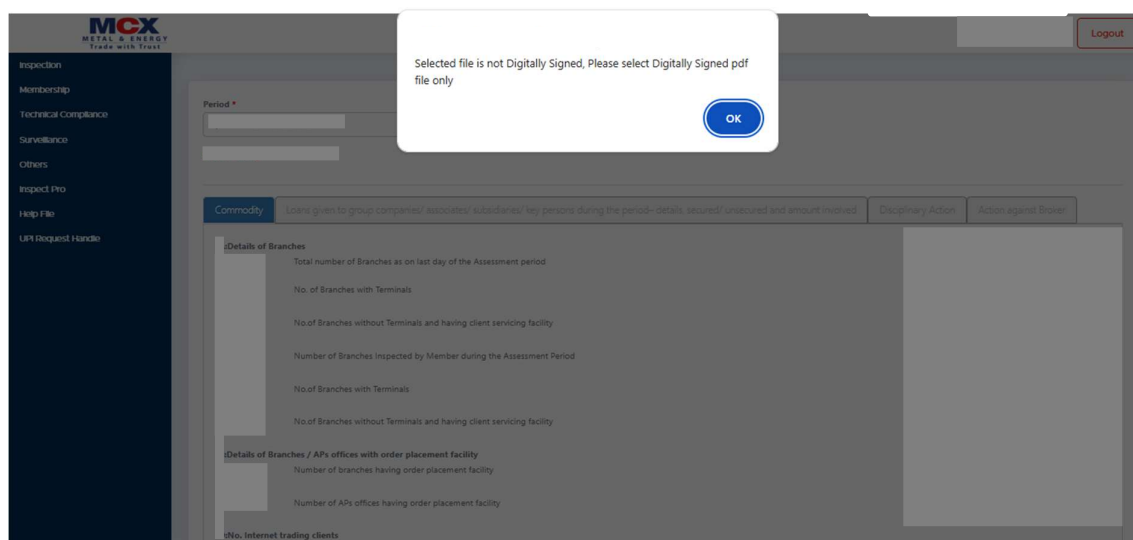
16) After the file is successfully digitally signed, following steps are to be followed

- Click on **browse** to select the digitally signed PDF
- **Select the digitally signed PDF**



17) If the PDF selected is not digitally signed, a dialog box will pop up stating that selected file is not digitally signed.

Therefore, to submit the form user has to select digitally signed PDF only.



18) After Selecting the Digitally Signed PDF, User shall click on **Submit button**.

Once **Submit button** is clicked, the selected/ uploaded file will be **Submitted to the exchange**.

The screenshot shows the 'Internal Audit Report' submission interface. On the left is a dark blue sidebar with navigation links: Internal Audit Report, Risk Based Supervision, FATF, FIU, Member Upload, Client Fund & Security Balance, Monthly Submission - Client Funds Securities and Commodities Balances, Bank Balance, Voluntary Freezing/Blocking, and Reporting of Impersonation Cases. The main content area has a light blue header with the text 'Please enter instance details, generate digital signature and upload, use appropriate key person'. Below this are two input fields: 'No. of instances of non-compliance' and 'Penalty levied (in Rs)', both with a value of 0. To the right of these fields are 'Proceed further' and 'Save' buttons. A green box with a downward arrow icon contains the text 'Kindly Generate PDF and digitally sign and upload'. Below this box are three buttons: 'Generate PDF', 'Download Utility', and 'Generate Excel'. At the bottom of the main area is a 'Choose File' button with the text 'No file chosen' and a 'Submit' button. A blue arrow points from the 'Submit' button in the main area to the 'Submit button' mentioned in the text above. At the bottom of the page, there is a copyright notice: '© Copyright 2017. All rights reserved. Multi Commodity Exchange of India Ltd. (MCX) Release 1.0.0' and a link to 'Privacy Policy - Terms & Conditions'.

19) On successful submission of the file to the exchange, a confirmation dialog box will pop up stating **“Details successfully submitted to the exchange”**.

The screenshot shows the same MCX Internal Audit Report submission interface as in the previous image. A confirmation dialog box is now open in the center of the screen, displaying the message 'Details successfully submitted to the exchange' and an 'OK' button. The background content is dimmed. The sidebar on the left remains the same. The main content area shows the same header and input fields as before. A blue arrow points from the 'Submit button' mentioned in the text above to the confirmation dialog box.

20) After submitting the details, User can see the notification display on the main page highlighting the **Status as Submitted**.

The screenshot shows the MCA portal interface. A notification box at the top right states "Details successfully submitted to the exchange" with an "OK" button. Below the notification, the "Status Submitted" form is visible. The form includes a "Period" dropdown menu and a "Commodity" section. The "Commodity" section has tabs for "Loans given to group companies/ associates/ subsidiaries/ key persons during the period- details, secured/ unsecured and amount involved", "Disciplinary Action", and "Action against Broker". The "A:Details of Branches" section contains fields for "Total number of Branches as on last day of the Assessment period", "No. of Branches with Terminals", "No. of Branches without Terminals and having client servicing facility", "Number of Branches Inspected by Member during the Assessment Period", "No. of Branches with Terminals", and "No. of Branches without Terminals and having client servicing facility". The "B:Details of Branches / APs offices with order placement facility" section contains fields for "Number of branches having order placement facility" and "Number of APs offices having order placement facility". The "D:No. Internet trading clients" field is also present.

21) If the digitally signed file is not uploaded for submission to the exchange after clicking on **Proceed further**, the **Status** will continue to be highlighted as **Pending** until the time the file is digitally signed and uploaded for submission to the exchange.

The screenshot shows the MCA portal interface. The "Status Pending" form is visible. The form includes a "Period" dropdown menu and a "Commodity" section. The "Commodity" section has tabs for "Loans given to group companies/ associates/ subsidiaries/ key persons during the period- details, secured/ unsecured and amount involved", "Disciplinary Action", and "Action against Broker". The "A:Details of Branches" section contains fields for "Total number of Branches as on last day of the Assessment period", "No. of Branches with Terminals", "No. of Branches without Terminals and having client servicing facility", "Number of Branches Inspected by Member during the Assessment Period", "No. of Branches with Terminals", and "No. of Branches without Terminals and having client servicing facility". The "B:Details of Branches / APs offices with order placement facility" section contains fields for "Number of branches having order placement facility" and "Number of APs offices having order placement facility". The "D:No. Internet trading clients" field is also present.

Annexure – C

DISCIPLINARY ACTION FOR DELAY / NON-SUBMISSION OF RISK BASED SUPERVISION (RBS) DATA

In case of any non-submission/delayed submission by the trading member, disciplinary action by way of levying Financial disincentive is prescribed in the exchange's circular MCX/COMP/213/2026 dated April 17, 2026, are initiated. Details of the same is given below:-

Details of contravention	Action in case of first instance	Action in case of repeat instance
Delay / Non-submission of Risk Based Supervision within the due date	1.Charges Rs. 1,500/- per day for Non QSB & Rs. 3,000/- per day for QSB from the due date till first 7 calendar days or submission of report, whichever is earlier. 2.Charges of Rs. 2,500/- per day for Non QSB & Rs. 5,000/- per day for QSB from 8th calendar day after the due date to 21st calendar day or submission of report, whichever is earlier. 3.In case of non-submission of report till 21st calendar days, new client registration shall be prohibited and notice of 7 calendar days for disablement of trading facility till submission of report, shall be issued. 4.The disablement notice issued to the member will be shared with all the Exchanges for information. 5.In case of non-submission of report by 28th calendar day, Member shall be disabled in all segments till submission of report.	2nd Time & Onwards – Levy of applicable monetary penalty along with an escalation of 50%. In case of non-submission of report till 21st calendar days, new client registration shall be prohibited and notice of 7 calendar days for disablement of trading facility till submission of report, shall be issued. The disablement notice issued to the member will be shared with all the Exchanges for information. In case of non-submission of report by 28th calendar day, Member shall be disabled in all segments till submission of report.

*****End of Document*****