CDSL/A,I&C/DP/POLCY/2026/634 **September 15, 2026**

ONLINE FACILTY FOR SUBMISSION OF NET WORTH CERTIFICATE & AUDITED FINANCIAL STATEMENTS

DPs are advised to refer communique no. CDSL/AUDIT/DP/POLICY/2025/616 dated September 12,2025 regarding submission of net worth certificate and a copy of the audited financial statements along with the auditors' report latest by 31st October of the financial year. Accordingly, all DPs for the Financial year ending on 31stMarch 2026 should submit the net worth certificate along with Audited Financial Statements (AFS) on or before **31st October 2026.**

DPs are requested to note that the online facility for submission of the Net Worth Certificate along with Audited Financial Statement (AFS) has been enhanced with certain additional features. The details are provided in **Annexure – A**. Further, during the verification process, any clarifications sought by CDSL from DPs regarding the computation of net worth, along with any clarifications or supporting documents submitted by DPs, shall be routed through the Audit web application. The detailed process for the same is provided in **Annexure – B**.

DPs are requested to take note of the above and follow the revised process accordingly.

It may be noted that all applicable clauses, instructions, requirements, and provisions stipulated in the above-mentioned Communiqué no:616 dated September 12, 2025 shall continue to remain applicable and unchanged, including the process for uploading Audited Financial Statements.**.**

Please note that the DP shall ensure that correct and complete copy of the Net Worth certificate and Audited Financial Statements is submitted on the Auditweb portal within the prescribed timeline. In case incorrect or incomplete documents are uploaded, the same shall be treated as non-submission of required documents, and the applicable penalty shall be levied in accordance with Operating Instructions Annexure 11.1.

In case of any further queries regarding this communiqué may be addressed to CDSL-Audit on (022) 6234 3077/6234 3489/6234 3074/6234 3084 and e-mail- nwafs@cdslindia.com.

For and on behalf of
Central Depository Services (India) Limited

sd/-

Ajit Prabhu
Sr. Manager – Audit, Inspection & Compliance

Annexure A

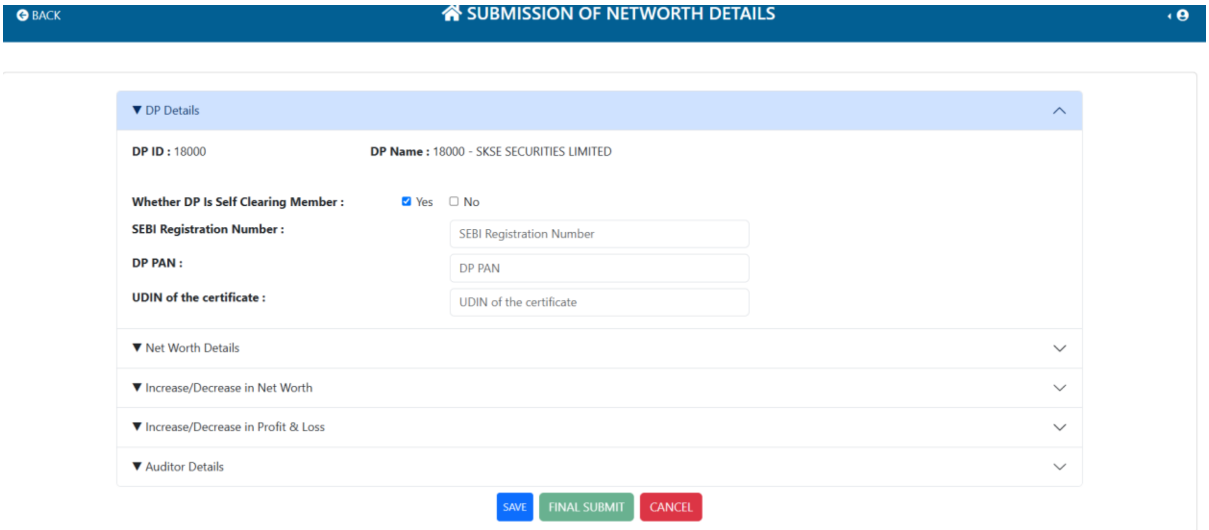
Procedure for Submission of Net worth certificate:

I. Log in into Audit application by using the link: https://auditweb.cdslindia.com

II. Sign in using ‘Login Type- DP

III. Logging in, select the Audit Type as “**Net worth Certificate”** choose the appropriate **'Audit Month'**. (e.g.: March 2026) and select the DP and confirm.

IV. Once DP login the net worth tab following screen will be displayed as under:

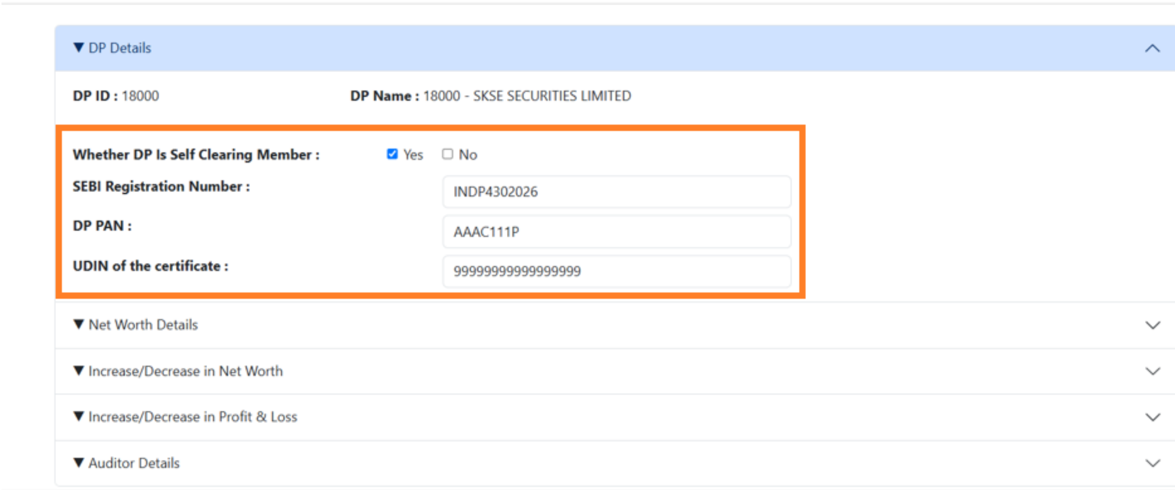


V. DPs needs to mention Self-Clearing Member Status:

- ➢ If the DP is a Self-Clearing Member, DP needs to tick ‘Yes’.
- ➢ If you are not a Self-Clearing Member, tick ‘No

VI. Following are the mandatory details required to be Entered by DPs:

i. SEBI Registration Number
ii. DP PAN
iii. UDIN of the certificate



▼ DP Details

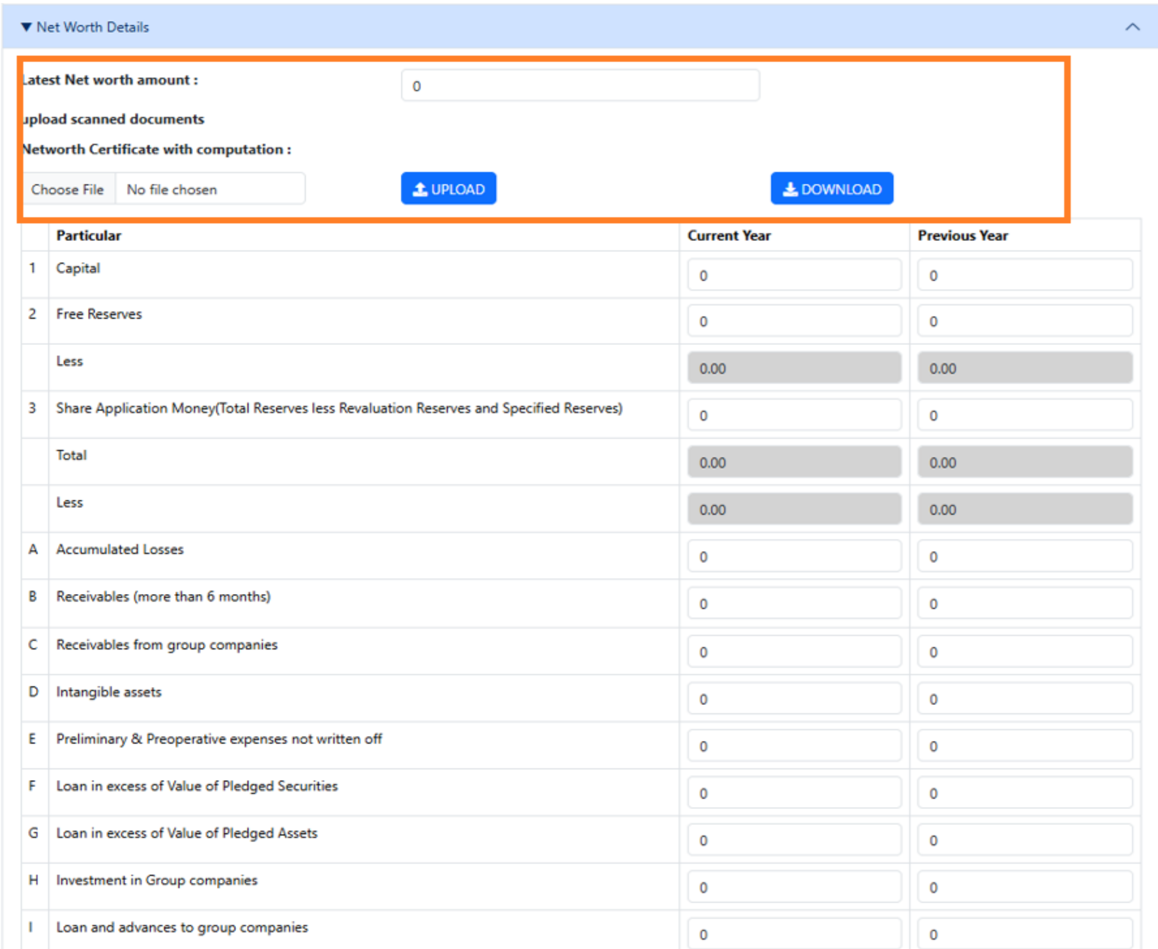
DP ID : 18000 DP Name : 18000 - SKSE SECURITIES LIMITED

Whether DP Is Self Clearing Member : ☑ Yes ☐ No
SEBI Registration Number : INDP4302026
DP PAN : AAAC111P
UDIN of the certificate : 99999999999999999

▼ Net Worth Details
▼ Increase/Decrease in Net Worth
▼ Increase/Decrease in Profit & Loss
▼ Auditor Details

VII. Net worth Certificate with computation:

Once DP selects net worth details, following screen will be displayed,



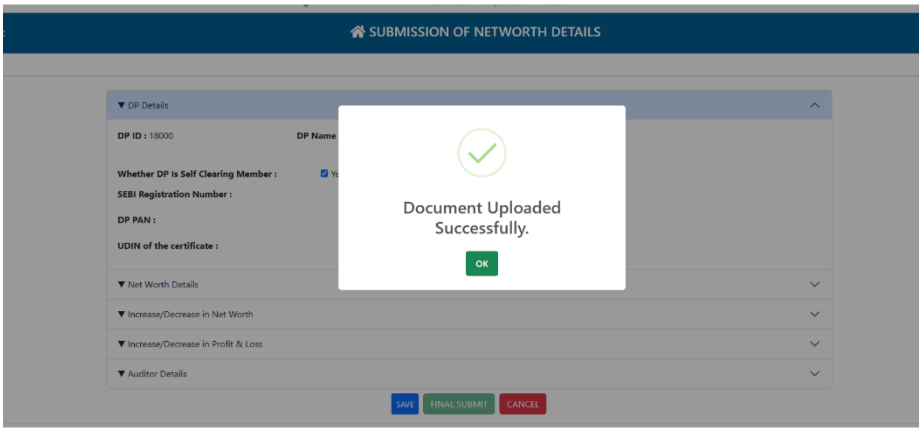
▼ Net Worth Details

Latest Net worth amount : 0
Upload scanned documents
Networth Certificate with computation :
Choose File No file chosen UPLOAD DOWNLOAD

	Particular	Current Year	Previous Year
1	Capital	0	0
2	Free Reserves	0	0
	Less	0.00	0.00
3	Share Application Money(Total Reserves less Revaluation Reserves and Specified Reserves)	0	0
	Total	0.00	0.00
	Less	0.00	0.00
A	Accumulated Losses	0	0
B	Receivables (more than 6 months)	0	0
C	Receivables from group companies	0	0
D	Intangible assets	0	0
E	Preliminary & Preoperative expenses not written off	0	0
F	Loan in excess of Value of Pledged Securities	0	0
G	Loan in excess of Value of Pledged Assets	0	0
H	Investment in Group companies	0	0
I	Loan and advances to group companies	0	0

VIII. DP needs to enter the latest net worth amount i.e as per schedule month i.e March, XXXX

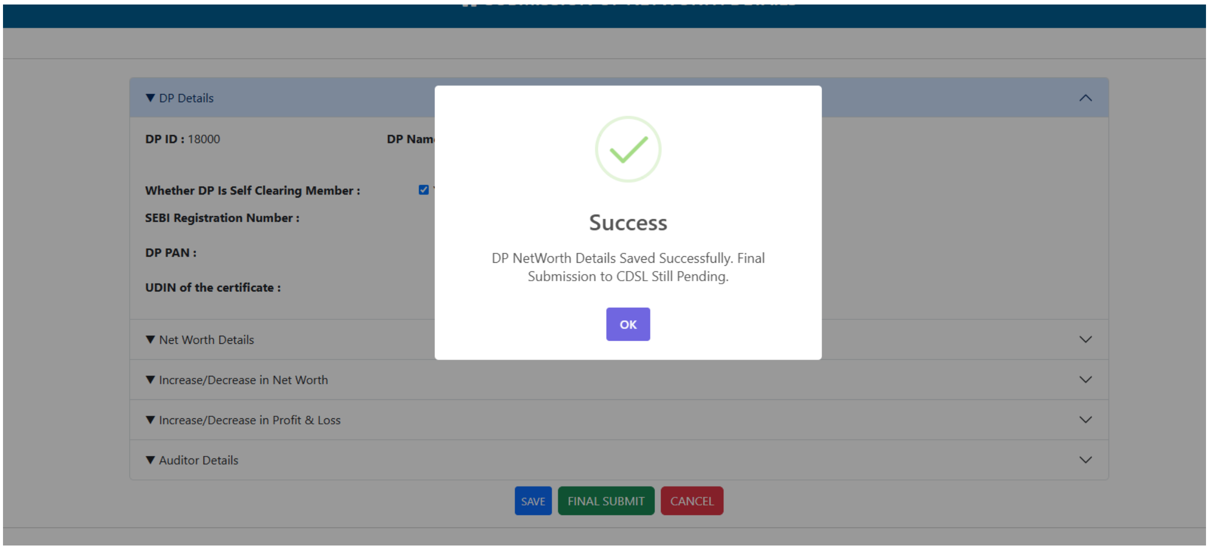
IX. DP should upload the Net Worth Certificate along with computation in PDF format signed by statutory auditor/practicing chartered accountant. Once DP submits the Net worth certificate an auto-populate message – Document uploaded successfully.



* *Please note that space or any special characters are not used in file name.*

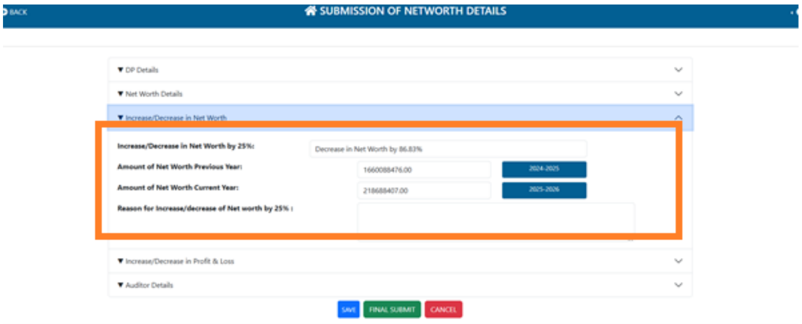
X. If the DP wants to verify the document uploaded DP can click download option and view the type of document submitted. (Kindly ensure to upload the correct documents before saving)

XI. After this DP should click save button for saving the details and following screen will be displayed:



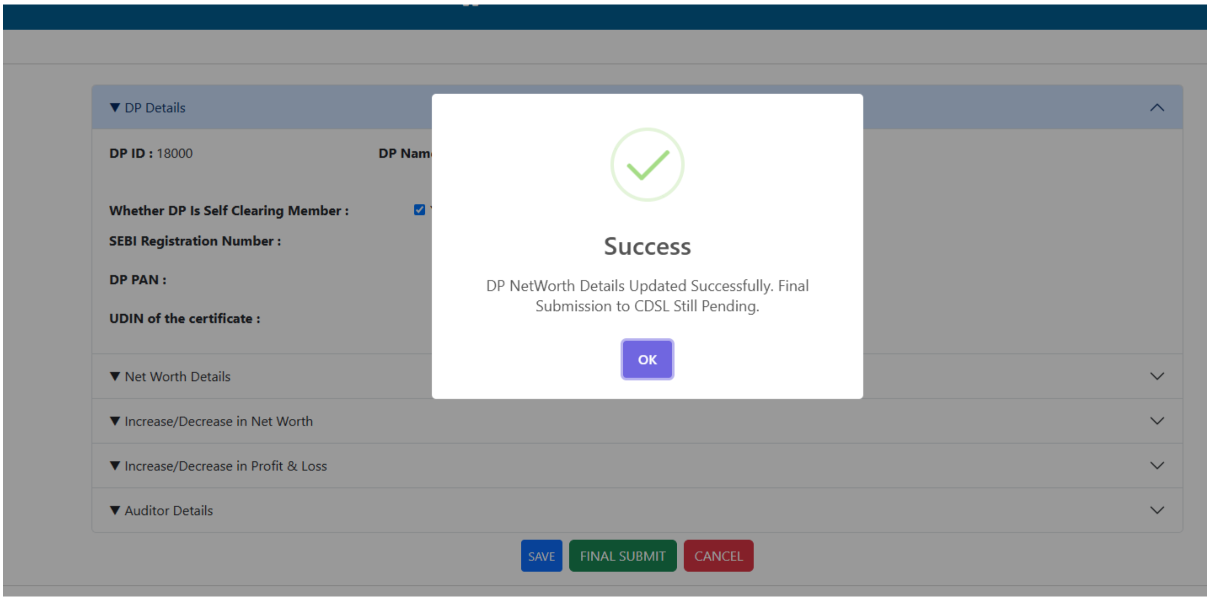
XII. DP is required to enter the amount as per net worth certificate under each financial items as per net worth certificate.

XIII. The net worth amounts entered by the DP under Net worth details will be auto captured for Increase/Decrease in Net worth as under:

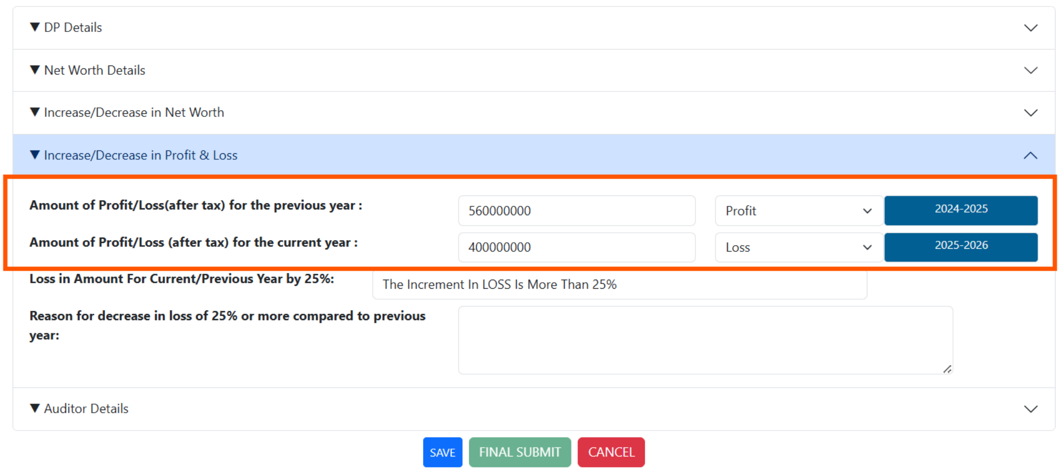


XIV. In case of an increase or decrease of more than 25% in net worth, the DP must mandatorily provide the reasons for such variation.

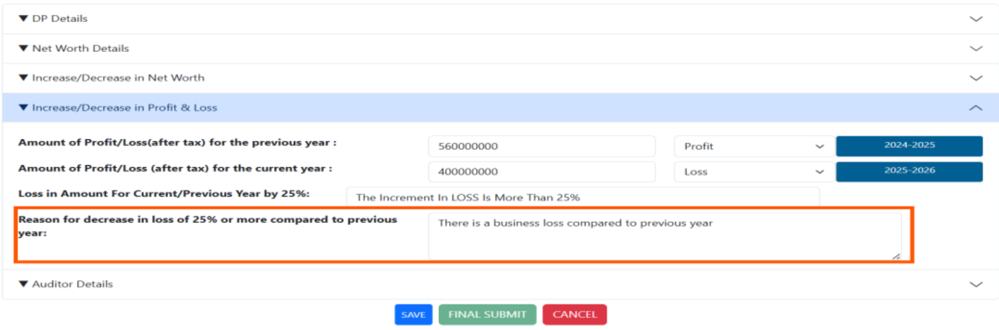
XV. DP can click save, then following screen will appear



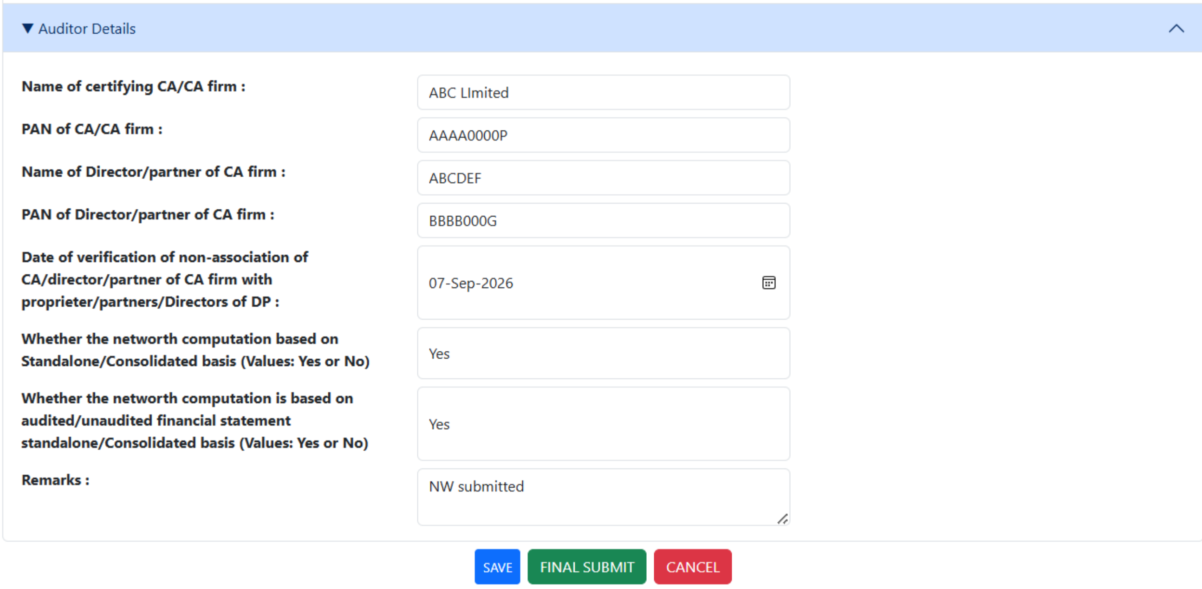
XVI. Next Increase/decrease in Profit & loss wherein DP needs to enter the profit or loss as per the Financial statement.



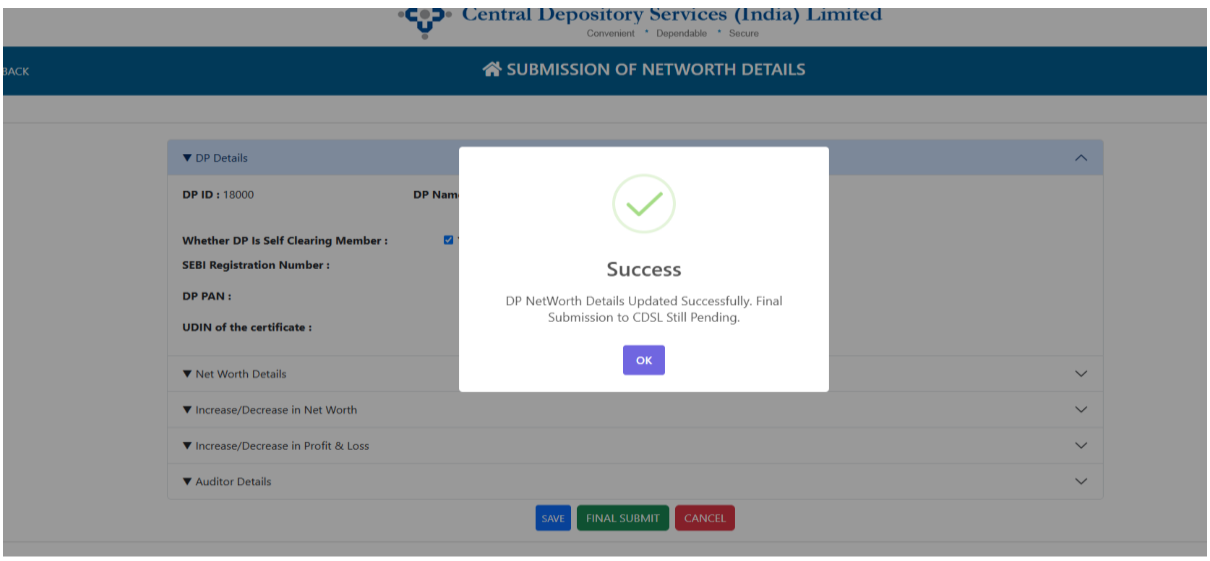
XVII. In case of increase/decrease is more than 25% reason should be entered by the DP.



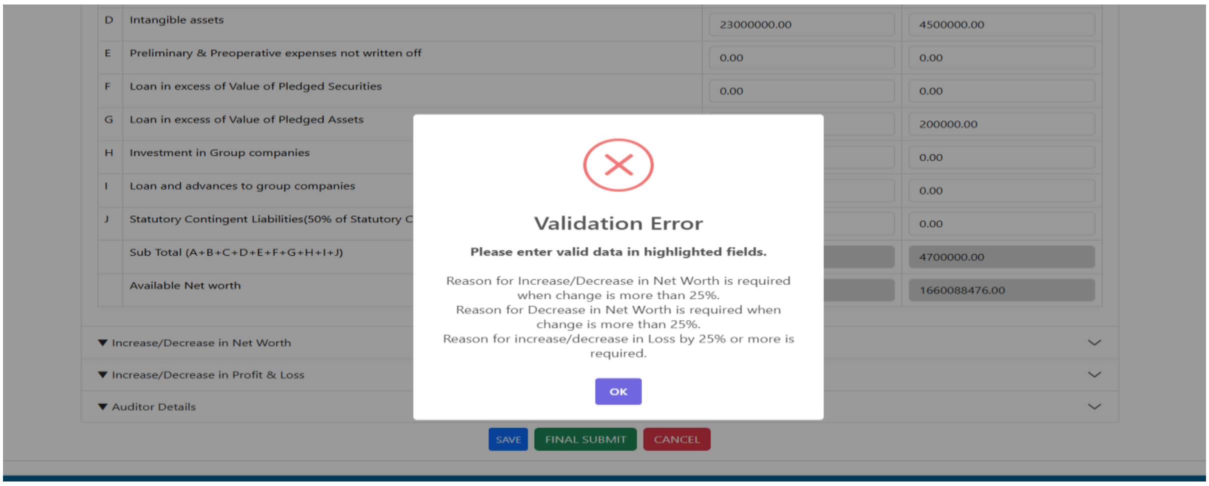
XVIII. DP should enter all the mandated fields as under:



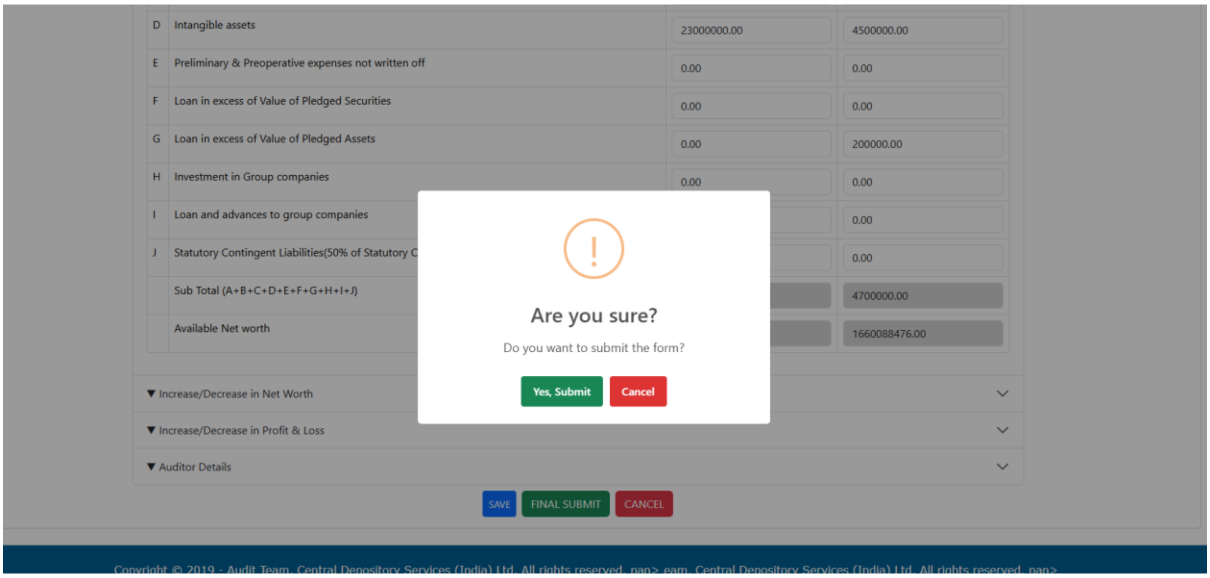
XIX. Once DP enters all details, then click save button. The screen will be displayed as under:



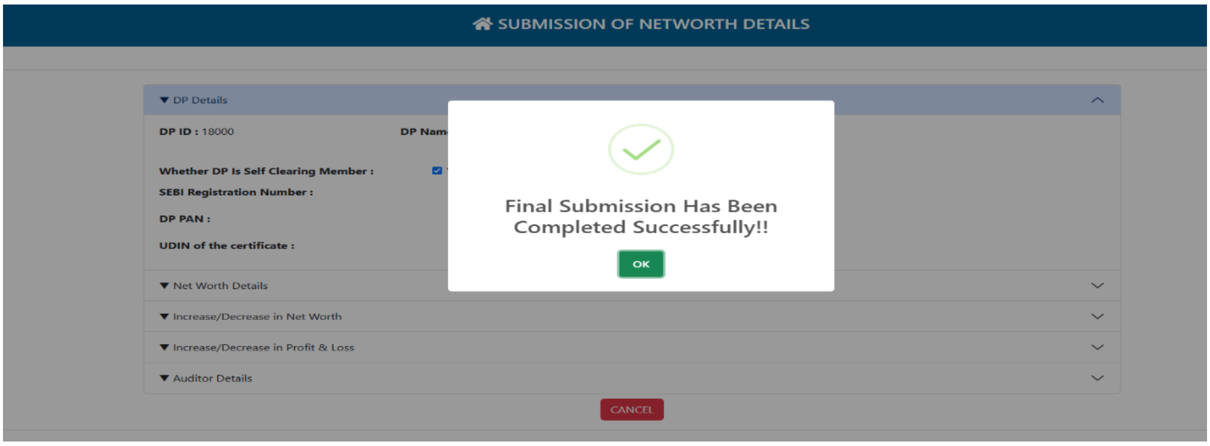
XX. In case of non-submission of details in the mandated fields then following error message will be displayed as under:



XXI. Once the DP has submitted all the details, the system displays the following message for final submission.



XXII. Post clicking final submit then system gives a pop-up message as under:

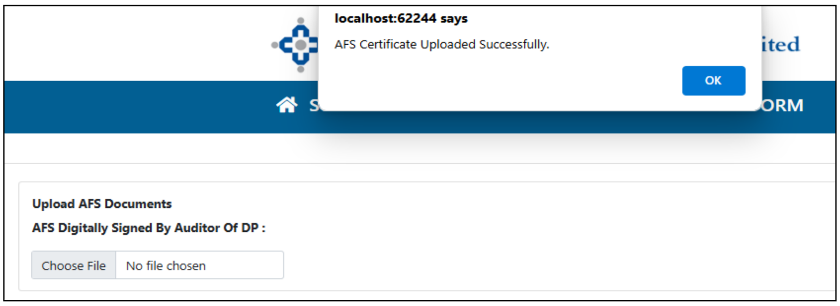


Submission of Audited Financial Statements through https://auditweb.cdslindia.com

1. Select the Audit Type as “Audited Financial Statement” **and** choose the appropriate **'Audit Month'**. (e.g.: March 2026) and select the DP and confirm.

2. Upload the AFS documents.

3. Message will display AFS certificate uploaded successfully.



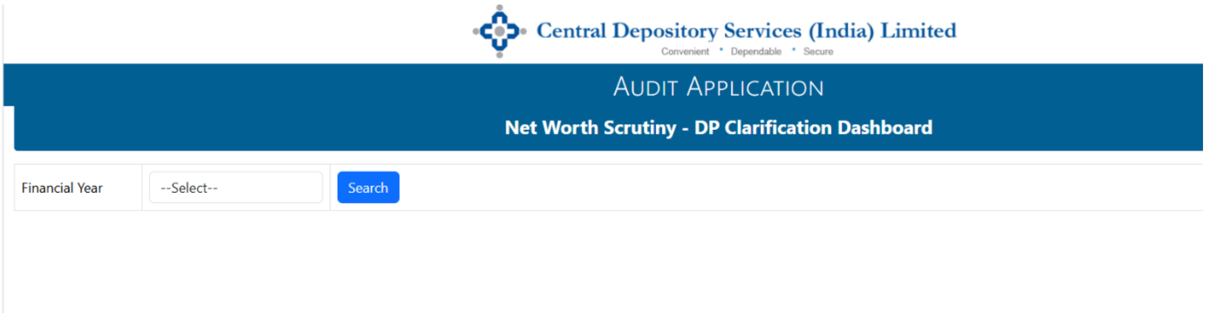
4. Once the Audited Financial Statement is uploaded, click on **‘Save’** and **'Final Submit'** to complete the submission process.

5. Please note that once the **'Final Submit'** button is clicked, the DP will no longer be able to submit any information or details.

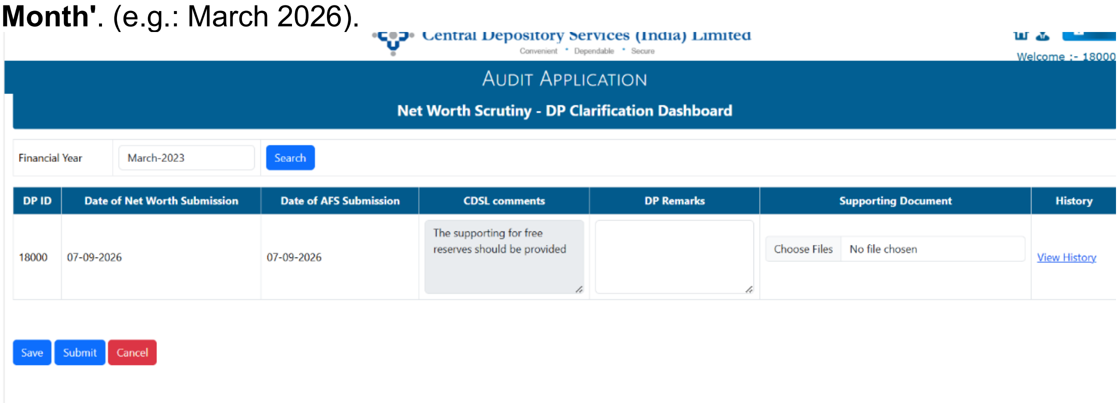
ANNEXURE – B

PROCEDURE FOR SUBMISSION OF CLARIFICATIONS SOUGHT BY CDSL FROM DPS

1. Log in into Audit application by using the link: https://auditweb.cdslindia.com
2. Sign in using ‘Login Type- DP
3. Once login the page following screen will be displayed,

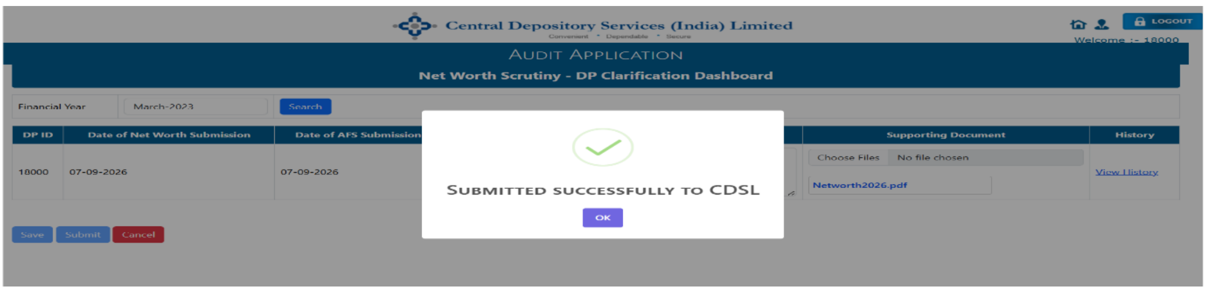


4. Logging in, select the Audit Type as “**NW scrutiny”** choose the appropriate **'Audit Month'**. (e.g.: March 2026).



5. DP needs to provide clarification based on CDSL comments and enclose the supporting documents (word,PDF, excel). DP will click save button after entering the comments and uploading the supporting.

6. Once DP submit then following screen will be displayed:



7. Please note till the completion of net worth process, communiaction between CDSL and DP is not restricted.