



Central Depository Services (India) Limited

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COMMUNIQUE TO DEPOSITORY PARTICIPANTS

CDSL/A,I&C/DP/POLCY/2026/634

September 15, 2026

ONLINE FACILITY FOR SUBMISSION OF NET WORTH CERTIFICATE & AUDITED FINANCIAL STATEMENTS

DPs are advised to refer communicate no. CDSL/AUDIT/DP/POLICY/2025/616 dated September 12, 2025 regarding submission of net worth certificate and a copy of the audited financial statements along with the auditors' report latest by 31st October of the financial year. Accordingly, all DPs for the Financial year ending on 31st March 2026 should submit the net worth certificate along with Audited Financial Statements (AFS) on or before **31st October 2026**.

DPs are requested to note that the online facility for submission of the Net Worth Certificate along with Audited Financial Statement (AFS) has been enhanced with certain additional features. The details are provided in **Annexure – A**. Further, during the verification process, any clarifications sought by CDSL from DPs regarding the computation of net worth, along with any clarifications or supporting documents submitted by DPs, shall be routed through the Audit web application. The detailed process for the same is provided in **Annexure – B**.

DPs are requested to take note of the above and follow the revised process accordingly.

It may be noted that all applicable clauses, instructions, requirements, and provisions stipulated in the above-mentioned Communiqué no:616 dated September 12, 2025 shall continue to remain applicable and unchanged, including the process for uploading Audited Financial Statements..

Please note that the DP shall ensure that correct and complete copy of the Net Worth certificate and Audited Financial Statements is submitted on the Auditweb portal within the prescribed timeline. In case incorrect or incomplete documents are uploaded, the same shall be treated as non-submission of required documents, and the applicable penalty shall be levied in accordance with Operating Instructions Annexure 11.1.

In case of any further queries regarding this communiqué may be addressed to CDSL-Audit on (022) 6234 3077/6234 3489/6234 3074/6234 3084 and e-mail- nwafs@cdslindia.com.

For and on behalf of
Central Depository Services (India) Limited

sd/-

Ajit Prabhu
Sr. Manager – Audit, Inspection & Compliance



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Annexure A

Procedure for Submission of Net worth certificate:

- I. Log in into Audit application by using the link: <https://auditweb.cdslindia.com>
- II. Sign in using 'Login Type- DP'
- III. Logging in, select the Audit Type as “**Net worth Certificate**” choose the appropriate '**Audit Month**'. (e.g.: March 2026) and select the DP and confirm.

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AUDIT APPLICATION

Select Audit Type	NETWORTH CERTIFICATE	▼
Select Audit Month	March-2023	▼
Select DP / RTA	18000 - SKSE SECURITIES LIMITED	▼

Confirm

[View DP / RTA Profile and Status of Reports](#)

[View NW Status](#)

[View VAPT / IT Auditor Profile](#)

[View Auditor Profile](#)

[View Investor Complaints](#)

[Monthly alerts](#)

- IV. Once DP login the net worth tab following screen will be displayed as under:

BACK SUBMISSION OF NETWORTH DETAILS

▼ DP Details

DP ID : 18000 DP Name : 18000 - SKSE SECURITIES LIMITED

Whether DP Is Self Clearing Member : ☒ Yes ☐ No

SEBI Registration Number :

DP PAN :

UDIN of the certificate :

▼ Net Worth Details

▼ Increase/Decrease in Net Worth

▼ Increase/Decrease in Profit & Loss

▼ Auditor Details

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- V. DPs needs to mention Self-Clearing Member Status:
- If the DP is a Self-Clearing Member, DP needs to tick 'Yes'.
 - If you are not a Self-Clearing Member, tick 'No'
- VI. Following are the mandatory details required to be Entered by DPs:



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i. SEBI Registration Number

ii. DP PAN

iii. UDIN of the certificate

▼ DP Details

DP ID : 18000DP Name : 18000 - SKSE SECURITIES LIMITED

Whether DP Is Self Clearing Member :☒ Yes ☐ No

SEBI Registration Number :INDP4302026

DP PAN :AAAC111P

UDIN of the certificate :9999999999999999

▼ Net Worth Details

▼ Increase/Decrease in Net Worth

▼ Increase/Decrease in Profit & Loss

▼ Auditor Details

VII. Net worth Certificate with computation:

Once DP selects net worth details, following screen will be displayed,

▼ Net Worth Details

Latest Net worth amount :0

upload scanned documents

Networth Certificate with computation :

Choose FileNo file chosen

UPLOAD

DOWNLOAD

Particular	Current Year	Previous Year
1 Capital	0	0
2 Free Reserves	0	0
Less	0.00	0.00
3 Share Application Money(Total Reserves less Revaluation Reserves and Specified Reserves)	0	0
Total	0.00	0.00
Less	0.00	0.00
A Accumulated Losses	0	0
B Receivables (more than 6 months)	0	0
C Receivables from group companies	0	0
D Intangible assets	0	0
E Preliminary & Preoperative expenses not written off	0	0
F Loan in excess of Value of Pledged Securities	0	0
G Loan in excess of Value of Pledged Assets	0	0
H Investment in Group companies	0	0
I Loan and advances to group companies	0	0



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- VIII. DP needs to enter the latest net worth amount i.e as per schedule month i.e March, XXXX
- IX. DP should upload the Net Worth Certificate along with computation in PDF format signed by statutory auditor/practicing chartered accountant. Once DP submits the Net worth certificate an auto-populate message – Document uploaded successfully.

The screenshot shows a web interface titled 'SUBMISSION OF NETWORTH DETAILS'. A modal window in the center displays a green checkmark and the text 'Document Uploaded Successfully.' with an 'OK' button. The background form contains fields for 'DP ID : 18000', 'DP Name', 'Whether DP is Self Clearing Member : ☒', 'SEBI Registration Number :', 'DP PAN :', and 'UDIN of the certificate :'. Below these are expandable sections for 'Net Worth Details', 'Increase/Decrease in Net Worth', 'Increase/Decrease in Profit & Loss', and 'Auditor Details'. At the bottom are 'SAVE', 'FINAL SUBMIT', and 'CANCEL' buttons.

* Please note that space or any special characters are not used in file name.

- X. If the DP wants to verify the document uploaded DP can click download option and view the type of document submitted. (Kindly ensure to upload the correct documents before saving)
- XI. After this DP should click save button for saving the details and following screen will be displayed:

The screenshot shows the same web interface as before, but the modal window now displays a green checkmark and the text 'Success' followed by 'DP NetWorth Details Saved Successfully. Final Submission to CDSL Still Pending.' and an 'OK' button. The background form and buttons remain the same.

- XII. DP is required to enter the amount as per net worth certificate under each financial items as per net worth certificate.
- XIII. The net worth amounts entered by the DP under Net worth details will be auto captured for Increase/Decrease in Net worth as under:



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BACK SUBMISSION OF NETWORTH DETAILS

▼ DP Details

▼ Net Worth Details

▼ Increase/Decrease in Net Worth

Increase/Decrease in Net Worth by 25%: Decrease in Net Worth by 25.00%

Amount of Net Worth Previous Year: 166008476.00 2024-2025

Amount of Net Worth Current Year: 219688407.00 2025-2026

Reason for Increase/Decrease of Net worth by 25% :

▼ Increase/Decrease in Profit & Loss

▼ Auditor Details

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- XIV. In case of an increase or decrease of more than 25% in net worth, the DP must mandatorily provide the reasons for such variation.
- XV. DP can click save, then following screen will appear

▼ DP Details

DP ID : 18000 DP Name :

Whether DP Is Self Clearing Member : ☒

SEBI Registration Number :

DP PAN :

UDIN of the certificate :

▼ Net Worth Details

▼ Increase/Decrease in Net Worth

▼ Increase/Decrease in Profit & Loss

▼ Auditor Details

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Success

DP NetWorth Details Updated Successfully. Final Submission to CDSL Still Pending.

OK

- XVI. Next Increase/decrease in Profit & loss wherein DP needs to enter the profit or loss as per the Financial statement.

▼ DP Details

▼ Net Worth Details

▼ Increase/Decrease in Net Worth

▼ Increase/Decrease in Profit & Loss

Amount of Profit/Loss(after tax) for the previous year : 560000000 Profit 2024-2025

Amount of Profit/Loss (after tax) for the current year : 400000000 Loss 2025-2026

Loss in Amount For Current/Previous Year by 25%: The Increment In LOSS Is More Than 25%

Reason for decrease in loss of 25% or more compared to previous year:

▼ Auditor Details

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XVII. In case of increase/decrease is more than 25% reason should be entered by the DP.

▼ DP Details

▼ Net Worth Details

▼ Increase/Decrease in Net Worth

▼ Increase/Decrease in Profit & Loss

Amount of Profit/Loss(after tax) for the previous year : 560000000 Profit 2024-2025

Amount of Profit/Loss (after tax) for the current year : 400000000 Loss 2025-2026

Loss in Amount For Current/Previous Year by 25%: The Increment in LOSS is More Than 25%

Reason for decrease in loss of 25% or more compared to previous year: There is a business loss compared to previous year

▼ Auditor Details

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XVIII. DP should enter all the mandated fields as under:

▼ Auditor Details

Name of certifying CA/CA firm : ABC Limited

PAN of CA/CA firm : AAAA0000P

Name of Director/partner of CA firm : ABCDEF

PAN of Director/partner of CA firm : BBBB0000G

Date of verification of non-association of CA/director/partner of CA firm with proprietor/partners/Directors of DP : 07-Sep-2026

Whether the network computation based on Standalone/Consolidated basis (Values: Yes or No) : Yes

Whether the network computation is based on audited/unaudited financial statement standalone/Consolidated basis (Values: Yes or No) : Yes

Remarks : NW submitted

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XIX. Once DP enters all details, then click save button. The screen will be displayed as under:

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BACK SUBMISSION OF NETWORTH DETAILS

▼ DP Details

DP ID : 18000 DP Name

Whether DP is Self Clearing Member : ☒

SEBI Registration Number :

DP PAN :

UDIN of the certificate :

▼ Net Worth Details

▼ Increase/Decrease in Net Worth

▼ Increase/Decrease in Profit & Loss

▼ Auditor Details

SAVE FINAL SUBMIT CANCEL

Success

DP NetWorth Details Updated Successfully, Final Submission to CDSL Still Pending.

OK



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- XX. In case of non-submission of details in the mandated fields then following error message will be displayed as under:

The screenshot shows a financial form with various fields. A modal box titled "Validation Error" is displayed in the center. The modal contains a red 'X' icon and the following text:

Validation Error

Please enter valid data in highlighted fields.

Reason for Increase/Decrease in Net Worth is required when change is more than 25%.

Reason for Decrease in Net Worth is required when change is more than 25%.

Reason for increase/decrease in Loss by 25% or more is required.

At the bottom of the modal is an "OK" button. The background form shows fields for "Intangible assets", "Preliminary & Preoperative expenses not written off", "Loan in excess of Value of Pledged Securities", "Loan in excess of Value of Pledged Assets", "Investment in Group companies", "Loan and advances to group companies", "Statutory Contingent Liabilities(50% of Statutory C", "Sub Total (A+B+C+D+E+F+G+H+I+J)", and "Available Net worth".

- XXI. Once the DP has submitted all the details, the system displays the following message for final submission.

The screenshot shows the same financial form as in the previous image. A modal box titled "Are you sure?" is displayed in the center. The modal contains an orange exclamation mark icon and the following text:

Are you sure?

Do you want to submit the form?

At the bottom of the modal are two buttons: "Yes, Submit" and "Cancel". The background form shows the same fields as in the previous image.

- XXII. Post clicking final submit then system gives a pop-up message as under:

The screenshot shows the same financial form as in the previous images. A modal box titled "Final Submission Has Been Completed Successfully!!" is displayed in the center. The modal contains a green checkmark icon and the following text:

Final Submission Has Been Completed Successfully!!

At the bottom of the modal is an "OK" button. The background form shows the same fields as in the previous images.



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Submission of Audited Financial Statements through <https://auditweb.cdslindia.com>

1. Select the Audit Type as “Audited Financial Statement” and choose the appropriate 'Audit Month'. (e.g.: March 2026) and select the DP and confirm.

The screenshot shows the 'AUDIT APPLICATION' form. It has three dropdown menus: 'Select Audit Type' set to 'Audited Financial Statement', 'Select Audit Month' set to 'March-2023', and 'Select DP / RTA' set to '45300 - TRANSGLOBAL SECURITIES LIMITED'. Below these is a blue 'Confirm' button. At the bottom, there are two links: 'View DP / RTA Profile and Status of Reports' and 'View Auditor Profile'. The footer states 'Copyright © 2019 - Audit Team, Central Depository Services (India) Ltd. All rights reserved.'

2. Upload the AFS documents.

The screenshot shows the 'SUBMISSION OF AUDITED FINANCIAL STATEMENT FORM'. It has a 'BACK' button and a home icon. The main section is titled 'Upload AFS Documents' and 'AFS Digitally Signed By Auditor Of DP :'. It contains a 'Choose File' button, a text box showing 'No file chosen', and an 'UPLOAD & SAVE' button. The footer states 'Copyright © 2019 - Audit Team, Central Depository Services (India) Ltd. All rights reserved.'

3. Message will display AFS certificate uploaded successfully.

The screenshot shows a message box with the text 'localhost:62244 says AFS Certificate Uploaded Successfully.' and an 'OK' button. Below the message box, the 'Upload AFS Documents' section is visible, showing the 'Choose File' button and the 'No file chosen' text box.

4. Once the Audited Financial Statement is uploaded, click on 'Save' and 'Final Submit' to complete the submission process.
5. Please note that once the 'Final Submit' button is clicked, the DP will no longer be able to submit any information or details.



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ANNEXURE – B

PROCEDURE FOR SUBMISSION OF CLARIFICATIONS SOUGHT BY CDSL FROM DPS

1. Log in into Audit application by using the link: <https://auditweb.cdslindia.com>
2. Sign in using 'Login Type- DP'
3. Once login the page following screen will be displayed,

4. Logging in, select the Audit Type as “**NW scrutiny**” choose the appropriate '**Audit Month**'. (e.g.: March 2026).

5. DP needs to provide clarification based on CDSL comments and enclose the supporting documents (word,PDF, excel). DP will click save button after entering the comments and uploading the supporting.
6. Once DP submit then following screen will be displayed:

7. Please note till the completion of net worth process, communication between CDSL and DP is not restricted.