



NOTICE

Notice No.	20260907-15
Notice Date	07 Sep 2026
Category	Compliance
Segment	General
Department	DOSS
Subject	Submission of Corrective Action Report pertaining to System Audit Report of Trading Members for the period ended March 31, 2026
Attachments	No Attachment

To All Members,

This is with reference to Exchange 20260422-34 dated April 22, 2026, and Exchange Notice No. 20260511-23 dated May 11, 2026, regarding submission of System Audit Report of Trading Members.

Trading Members are required to take corrective action for each non-compliance reported by the auditor in the System Audit Report for the audit period ended March 31, 2026, and submit Corrective Action Report (CAR) for non-compliances. On review of details of corrective action report submitted by trading member, the auditor shall submit the status of compliance as Compliant or Non-Compliant through BEFS Portal as per below mentioned timelines.

Report Type	Due date for Submission
Corrective Action Report (CAR) for System Audit Report for the period ending March 31, 2026. (If Applicable)	September 30, 2026

The Corrective Action Report and related documents should be submitted only in electronic form through BEFS portal (BSE Electronic Filing System). The web-link for submission of the CAR (Corrective Action Report) is given below: <https://membersso.bseindia.com> > BEFS > System Audit Report > Corrective Action Report. (TOR II CAR or TOR III CAR).

Trading members are requested to take note of Annexure 1 of Exchange notice no. 20260417-45 dated April 17, 2026, regarding “Ease of Doing Business - Rationalization and Standardization of penalties levied on Trading Members”.

All Trading Members are advised to take note of the above and comply.

For and on behalf of BSE Ltd

Richa Ghosh
Deputy Vice President
Member Oversight

Dhananjay Mudgal
Assistant Vice President
Member Oversight