
NATIONAL COMMODITY & DERIVATIVES EXCHANGE LIMITED

Circular to all members of the Exchange

Circular No : NCDEX/MEMBER INSPECTION-022/2026

Date : July 20, 2026

Subject : Reminder for Submission of Action Taken Report for non - compliance(s) reported in the Internal Audit Report for the half year ended March 31, 2026

This is with reference to Exchange Circular Ref. No. NCDEX/ MEMBER INSPECTION- 018/2026 dated June 09, 2026, on "Ease of Doing Compliance- Submission of Action Taken Report for non-compliance(s) reported in the Internal Audit Report" for the half year ended March 31, 2026.

In this regard, members are reminded to close the non- compliance(s) and provide status of compliance(s) for the non- compliance(s) reported in the Internal Audit Report for the half year ended March 31, 2026 on or before **July 31, 2026**. The Action Taken Reports shall be submitted through email to iar_submission@ncdex.com as per **Annexure I & II**. Additionally, the online portal for submission of Action taken reports is now live and can be accessed by Members and Auditors from the link below. : Portal Link: <https://noss.ncdex.com>.

Further, the functionality for submission of ATR through above said portal is available to only those members, who have submitted Internal Audit Report for the half year ended March 2026 in the portal. For ease of understanding, a detailed user manual has been provided as 'User Manual for submission of Action Taken Reports', available for download in the 'Downloads' section of our website. (https://ncdex.com/quick_links/download).

Please note that in case the observations are not closed in the Action Taken Report or Action Taken Report has not been submitted within the due date, prescribed monetary penalty/disciplinary actions shall be initiated in accordance with Exchange Circular No. NCDEX MEMBER INSPECTION-009/2026 dated April 17, 2026 or such other penalty circulars issued by the Exchange from time to time.

Members are advised to take note of the above and comply.

For and on behalf of

National Commodity & Derivatives Exchange Limited

Pranesh Shetty

Senior Vice President – Inspection & Enforcement

For further information/clarifications, please contact

1. Customer Service Group on toll free number: 1800 26 62339
 2. Customer Service Group by e-mail to : askus@ncdex.com
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