



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/IS/DP/POLCY/2026/486

July 20, 2026

SUBMISSION PROCESS OF VAPT REPORT

Depository Participants (DPs) are advised to refer **communique No. CDSL/IS/DP/POLCY/2026/392 June 10, 2026**, regarding the submission of VAPT Report.

In view of the above, Depository Participants are hereby advised to submit the VAPT Report through the online audit web portal. The deadline for **VAPT report** submission is on or before **31st July 2026**.

Please follow the submission guidelines as provided in **Annexure A** for submitting the VAPT report to CDSL.

All Depository Participants are advised to take note of the above and submit all reports or formats related to VAPT from time to time and ensure strict adherence to the compliance requirements.

If the submission is not completed within the prescribed timeline, it will be treated as noncompliance, and the applicable penalty will be imposed as per **CDSL communique no: CDSL/AUDIT/DP/POLCY/2025/105 February 12, 2025**.

Queries regarding this communiqué may be sent to dpinfosec@cdslindia.com, or you may contact us on our landline numbers: 022-62343576 / 022-62343461.

**For and on behalf of
Central Depository Services (India) Limited**

sd/-

**Mrugen Vijay Munjpara
Assistant Vice President – Information Security**



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Annexure A

Guidelines to submit VAPT Report

VAPT Auditor needs to be mapped before submissions

1. Select "DP" as Login Type. Enter your user id and password. Complete the Captcha and then Sign In.

AUDIT APPLICATION

SIGN IN

Login Type	DP
User ID	
Password	
	453f24
	453f24
Sign In	
Forgot password	Registration for DP / RTA

2. Enter the OTP received on the registered mobile number and email id.

AUDIT APPLICATION

LOGIN OTP

Enter OTP	Enter OTP
OTP Time :- 00:09:45	Do not refresh the page
Verify OTP	



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3. Then click on “View DP / RTA Profile and Status of Reports”.

AUDIT APPLICATION

Select Audit Type	--SELECT--	▼
Select Audit Month		▼
Select DP / RTA	--Select--	▼
<button>Confirm</button>		
View DP / RTA Profile and Status of Reports		
View VAPT / IT Auditor Profile		
View Auditor Profile		
View Investor Complaints		

4. You can view the mapped logins for each login type on this page.

AUDIT APPLICATION

IT Auditor Profile

1 User ID:

2 Name of Audit Firm:

3 Address:

4 Name of the Auditor:

5 Mobile:

6 Email ID:

Update ProfileBack

MAPPED DP WITH AUDIT TYPES

Delete	Edit	DP ID	DP Name	Audit Firm Name	User ID	Mobile No.	Audit Type
Delete	Edit						SYSTEM AUDIT REPORT
Delete	Edit						CYBER SECURITY AUDIT ATR



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5. Go back. Click on "Registration for VAPT Auditor"

AUDIT APPLICATION

Select Audit Type	--SELECT--	▼
Select Audit Month		▼
Select DP / RTA	--Select--	▼

Confirm

[View DP / RTA Profile and Status of Reports](#)

[View VAPT / IT Auditor Profile](#)

[View Auditor Profile](#)

[View Investor Complaints](#)

[View Pending Submission](#) [View Pending Non-Compliance](#)

[Go to Login](#) | [Change Password](#)

[Registration for Auditor](#) | [Registration for VAPT / IT Auditor](#) | [Registration for Designated Officer](#) | [User registration - Bidding DP](#)

- If you do not wish to use the existing details of Auditor, you may skip the **"Auditor List"** dropdown and manually enter the required information in the fields provided below. Please ensure that the email ID entered is unique and has not been used previously.
- If you are unable to see your auditor's name in the "Auditor List" dropdown, skip the "Auditor List" selection and directly enter the required details in the fields provided below. Then click the "Registration" button. You will receive a pop-up message confirming successful mapping.
- If the details reflected after selecting the auditor from the "Auditor List" dropdown are incorrect, do not select the auditor from the dropdown. Instead, enter the required details manually in the fields provided below and proceed with the registration. Please ensure that the email ID entered is unique and is not already mapped with another registration.



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In case the DP name is not available in the dropdown list, please verify the details and try again

- ✓ Select the login type as "DP".
- ✓ Navigate to the "View IT Auditor" section and remove the existing auditor mapping.
- ✓ Return to the DP Login Home Page and open the "Registration for IT Auditor" section.
- ✓ Enter the required details and complete the registration process.

6. After Selecting "Registration for VAPT Auditor" below screen would be displayed.

AUDIT APPLICATION

IT/VAPT AUDITOR REGISTRATION

Select Audit Type--Select--

Auditor List--SELECT--

Auditors Email ID

Email ID

Name of Audit Firm

Audit Firm Name

Address of Audit Firm

Address

Name of Contact Person

Contact Person

Mobile No.

Mobile no.

Select DP

Register

[Go to Login](#) | [Refresh](#)



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7. Select "VAPT Report" as the Audit Type.

Enter all the required details, including the Auditor's Email ID, Audit Firm Name, Contact Person's Name, and Mobile Number. Select the relevant DP from the dropdown list and click on **"Register"** to complete the registration process..

IT/VAPT AUDITOR REGISTRATION

Select Audit Type	VAPT REPORT	▼
Auditor List	--SELECT--	▼
* Auditors Email ID	Email ID	
* Name of Audit Firm	Audit Firm Name	
Address of Audit Firm	Address	
* Name of Contact Person	Contact Person	
* Mobile No.	Mobile no.	
Select DP	--Select--	▼
Register		
Go to Login Refresh		

8. Once Registration is done, a pop-up box will appear intimating the same.

testaudit.cdsl.co.in says

Registration Sucessfully Done!!!



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9. Then click on Forgot Password.

AUDIT APPLICATION

SIGN IN

Login TypeVAPT_Auditor

User ID

PasswordPassword

b68ff3

Enter Code

Sign In

[Forgot password](#)[Registration for DP / RTA](#)

10. After Clicking on Forgot Password it will redirect to below screen shot.

AUDIT APPLICATION

FORGOT PASSWORD

Login Type--Select--

User IDUser ID

Email IDEmail ID

☐ I'm not a robot

Submit

Cancel



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11. Select VAPT Auditor as the Login Type.

Enter User ID and Email ID. Please note that the User ID must be email ID.

Complete captcha verifications and then Submit.

FORGOT PASSWORD

Login Type

VAPT_Auditor

User ID

User ID

Email ID

Email ID

I'm not a robot

reCAPTCHA
Privacy - Terms

Submit

Cancel

12. Enter the OTP received on the registered number or email id

AUDIT APPLICATION

FORGOT PASSWORD

Enter OTP

Enter OTP

OTP Time :-
00:09:12

Do not refresh the page

Verify OTP

13. Enter New password and confirm the new password. Click on Save Changes.

AUDIT APPLICATION

FORGOT PASSWORD

You can reset your password here

New Password

Confirm Password

Save Changes

* Password Instructions : At least one digit [0-9], one lowercase character [a-z], one uppercase character [A-Z], one special character [*.!@#%&(){}[];:<>.,] and at least 8 characters in length



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14. After resetting password, Login as an VAPT Auditor.

AUDIT APPLICATION

SIGN IN

Login Type

VAPT_Auditor

User ID

Enter Login ID

Password

Password

b89f33

Enter Code

Sign In

[Forgot password](#)

[Registration for DP / RTA](#)

15. You will receive the OTP on the registered mobile number and email id.

testaudit.cdsl.co.in says

OTP sent successfully on your registered email id / Mobile...!!!

OK

16. Enter the OTP to Login

AUDIT APPLICATION

LOGIN OTP

Enter OTP

Enter OTP

OTP Time :-
00:09:42

Do not refresh the page

Verify OTP



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VAPT AUDITOR LOGIN

1. Log in Into Audit application by using the below link:-

<https://auditweb.cdslindia.com/Login.aspx>

Now Sign in using 'Login Type- VAPT Auditor'.

Now enter User ID & Password and click on "Sign In" button.

2. Enter the OTP received on the registered mobile no.

3. Select the Audit Type, Audit Month and Select the DP under the drop-down box.
Then click on Confirm.



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4. Enter VAPT Report Details and click on “Save” button:

Note: If the report is not submitted within prescribed timeline, it will be treated as noncompliance & applicable penalty would be imposed.

VAPT REPORT DETAILS	
*Audit Period	April to March / October to March
*Contact Person Details (Name, Mobile No. & Email ID) of DP (Preferably CISO's)	Harshad Raut -893292382- Harshadraut@gmail.com
*VAPT Completion Date (DD-MM-YYYY):	30-06-2026
*Date of Approval of VAPT report by Technology Committee of DP (DD-MM-YYYY):	15-07-2026
*Name of the Auditor	Akash
*Name of audit Firm Name/ CERT-In empanelment	Aartifirm residency
Audit Firm Landline No.	23424234243
*Auditor Mobile No.	4244244224
*Auditor/Audit Firm Email ID	harshad@gmail.com
*CERT-In empanelment validity expiry Date (DD-MM-YYYY):	16-07-2026
<button>Save</button>	

5. Enter the CERT-IN Empanelment Date and CERT-IN Expiry Date.

(Note: Enter the Dates in DD/MM/YYYY Format.)

1.AUDITOR DECLARATION	
TO WHOM SO EVER IT MAY CONCERN	
This is to be submitted by the auditor on the RE's letter head.	
This is to declare and certify that I am a Partner/ Proprietor of firm with CERT-In empanelment dates 30-06-2026 to 29-11-2029 . I have conducted VAPT as per the requirements of SEBI. The scope of VAPT covers following circulars/ guidelines/ advisories issued by SEBI/Depository:	

Checklist for VAPT compliance as required: **ALL FIELDS ARE MANDATORY.**

6. Enter the Checklist for VAPT Compliance as Required.

Checklist for VAPT compliance as required:				
Sr no	Assessment Area	Details (assets, applications, etc.) of the Audit area	Is the Entity Compliant? (Yes/ No)	Auditor's comments
1	Vulnerability Assessment of Infrastructure (Server, Storage, Network, etc.) – Internal and External	Server	yes	ok
2	Vulnerability Assessment of Applications– Internal and External	External Server	yes	ok
3	External Penetration Testing – Infrastructure and Applications	Infra	yes	ok



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7. Enter the required information in the respective fields, including the Auditor's Name, Contact Number, Place, Date, and Executive Summary.

i) I confirm that the VAPT has been conducted as per the auditor's guidelines prescribed in this framework.
ii) I also confirm that I have no conflict of interest in undertaking the above-mentioned VAPT activity.

For and on behalf of :

*Name of the organization (RE's name) :	Name of the organization (RE's name)
*Contact No.:	Contact No
*Place:	Place
*Date :	Date : DD-MM-YYYY

2. EXECUTIVE SUMMARY :

* Auditing Organization to provide an executive summary of the findings	Auditing Organization to provide an executive summary of the findings
---	---

8. Enter the required details under the **Type of Assessment** section.

3. SCOPE OF VAPT :

Sr no	Type of Assessment	List the details of the assessment
1	Vulnerability Assessment of Infrastructure (Server, Storage, Network, etc.) – Internal and External	IPs
2	Vulnerability Assessment of Applications– Internal and External	IPs
3	External Penetration Testing – Infrastructure and Applications	IPs
4	Wi-Fi Testing	IPs

9. Enter the details of Tools used.

4.TOOLS USED :

*4.1 Name of the Tools:	Nessus
*4.2 Type:	Commercials
*4.3 Operations:	Both



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10. Upload the Exclusion Report (If applicable).

5. EXCLUSIONS, IF ANY:

Please enclose attachments regarding exclusions as approved by 'IT Committee for REs' along with MoM of the meeting where the exclusions were approved. Upload Exclusion Report (if any)

No file chosen

11. Enter the required fields for Vulnerability Assessment Findings Details.

Note: All fields are Mandatory.

Please note: The checkpoints 14,16,17 accept alpha numeric values, whereas rest checkpoints accept numeric values. In case of ant checkpoints is not applicable enter 0 as the value.

6.1.DETAILS OF VULNERABILITY ASSESSMENT FINDINGS: ALL FIELDS ARE MANDATORY.

Sr No.	Vulnerability Assessment Finding Details												
01.	Auditor (Name) for VA:												
02.	VA Start Date:	15-07-2026											
03.	VA End Date:	08-07-2026											
04.	Vulnerability Assessment												
05.	Scope	Number of Identified Vulnerability					Closure Timeline	Open Vulnerabilities (Shall be applicable during final submission)					Auditor Remarks
06.		Critical	High	Medium	Low	Total		Critical	High	Medium	Low	Total	
07.	Critical Assets	1	21	3	3	28	07-08-2026	0	0	0	0	0	scl
08.	VA of infrastructure (Server, Storage, Network, etc.) - Internal and External	12	12	12	12	48	08-08-2026	12	12	12	12	48	ds
09.	VA of Applications - Internal and External	1212	1221	1221	21	3675	08-08-2026	12	12	12	12	48	sscl
10.	WiFi Testing	12	12	12	12	48	07-08-2026	12	12	12	12	48	scl
11.	API Security Testing	12	12	12	12	48	DD-MM-YYYY	12	12	12	12	48	scl
12.	Network Segmentation	12	12		12	36	DD-MM-YYYY	21	12	12	12	57	scl
13.	VA of mobile applications	12	12		12	36	08-08-2026	12	12	12	12	48	scl
14.	OS and DB Assessment	12	12	12	12	0	08-08-2026	12	12	12	12	12	scl
15.	VA of cloud deployment	12	12	12	12	48	07-08-2026	12	12	12	12	48	scl
16.	Configuration Audit of infrastructure (like i.e. operating systems, databases & middleware, endpoint devices, network devices, security devices, cloud and firewall rule review etc.)	12	12	12	12	12	06-08-2026	12	12	12	12	12	scl
17.	Others, Please specify	12	12	12	12	12	07-08-2026	12	12	12	21	12	ds

Checkpoints 14,16,17 accept Alpha Numeric Values, whereas rest checkpoints accept Numeric Values. In case of any checkpoints are not applicable enter 0 as the value



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12. Enter the required fields for Details of Penetration Testing findings

Note: All fields are Mandatory.

Please note: The checkpoints 11 accept alpha numeric values, whereas rest checkpoints accept numeric values. In case of any checkpoints are not applicable enter 0 as the value.

6.2.DETAILS OF PENETRATION TESTING FINDINGS: ALL FIELDS ARE MANDATORY.													
Sr No.	Penetration Testing Finding Details												
01.	Auditor (Name) for PT:												
02.	PT Start Date:	17-07-2026											
03.	PT End Date:	01-08-2026											
04.	Penetration Testing												
05.	Scope	Identified vulnerabilities					Closure Timelines	Open Vulnerabilities (Shall be applicable during final submission)					Auditor Remarks
06.		Critical	High	Medium	Low	Total		Critical	High	Medium	Low	Total	
07.	Critical Assets	12	12	12	12	48	08-08-2026	12	12	12	12	48	ds
08.	External Penetration Testing - Infrastructure and Application	12	12	12	12	48	01-08-2026	12	12	12	12	48	sd
09.	PT of mobile applications	212	12	12	12	248	08-08-2026	12	12	21	12	57	ds
10.	PT of cloud deployments	12	12	12	21	57	06-08-2026	12	21	12	21	66	sd
11.	Others, please specify	12	21	12	12	12	07-08-2026	12	12	12	21	12	sd
Checkpoints 11 accept Alpha Numeric Values, whereas rest checkpoints accept Numeric Values. In case of any checkpoints are not applicable enter 0 as the value													

13. Click on the **"Save and Generate PDF"** button, and then upload the generated PDF report with a valid Digital Signature. Submission of the VAPT Report without a Digital Signature will not be permitted, as the Digital Signature is mandatory.

6.3.RISK RATING DESCRIPTION:	
Rating	Description
CRITICAL	The failure has an impact on the system delivery resulting in outage of services offered by the RE.
HIGH	Represents weakness in control with respect to threat(s) that is/are sufficiently capable and impacts asset (s) leading to regulatory non-compliance, significant financial, operational and reputational loss. These observations need to be addressed with utmost priority.
MEDIUM	Represents weakness in control with respect to threat(s) that is/are sufficiently capable and impacts asset (s) leading to exposure in terms of financial, operational and reputational loss. These observations need to be addressed within a reasonable timeframe.
LOW	Represents weaknesses in control, which in combination with other weakness can develop into an exposure. Suggested improvements for situations not immediately/directly affecting controls.
* Upload Report with Digital Signature	
Choose File	Upload
SAVE GENERATE PDF BACK	



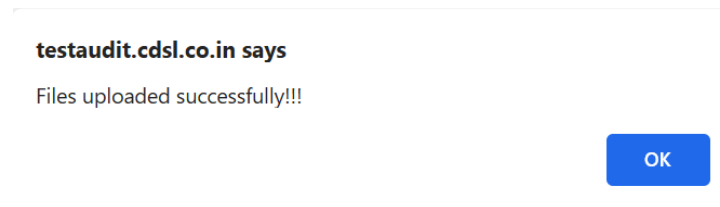
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NOTE: Before generating the PDF, please ensure that the report is saved. Once saved, proceed to generate the PDF.

14. Click on **"Upload"** to ensure that the VAPT Report is successfully uploaded and submitted to the DP.



DESIGNATED OFFICER LOGIN

1. Log in Into Audit application by using the below link:-

<https://auditweb.cdslindia.com/Login.aspx>

Now Sign in using 'Login Type-Designated officer'.

Now enter User ID & Password and click on "Sign In" button.

AUDIT APPLICATION

SIGN IN

Login Type	Designated Officer ▼
User ID	Enter Login ID
Password	Password
	daff8f
	Enter Code
Sign In	
Forgot password	Registration for DP / RTA



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2. Enter the OTP: You will receive the OTP on both your DP's registered mobile number and email

AUDIT APPLICATION

LOGIN OTP

 Enter OTP	Enter OTP
OTP Time :- 00:09:47	Do not refresh the page
Verify OTP	

3. Then select audit type "Cyber Security Audit Report" from the Drop down.

Select the DP ID and DP Name in the 'Select DP / RTA' tab and click on 'Confirm'.



AUDIT APPLICATION

Select Audit Type	VAPT REPORT	▼
Select Audit Month	October-2023	▼
Select DP / RTA	--Select--	▼
Confirm		
View Cyber Report Cyber Audit Compliance Report		
Cyber RCA Report		
View Pending Submission View Pending Non-Compliance		
Go to Login Change Password		



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4. The 'Designated Officer' is given access to fill only the **"REPORTING FORMAT FOR MARKET ENTITIES TO SUBMIT THEIR COMPLIANCE AND FINDINGS OF VAPT"**

The following screen will be displayed upon successful user login.

PART-2 ANNEXURE-A: VAPT REPORT FORMAT

REPORTING FORMAT FOR MARKET ENTITIES TO SUBMIT THEIR COMPLIANCE AND FINDINGS OF VAPT	
*NAME OF THE ORGANISATION	SHARE INDIA SECURITIES LIMITED
*ENTITY TYPE	Depository Participants
*ENTITY CATEGORY	Non QSB
*RATIONALE FOR THE CATEGORY	As Confirmed by the Auditor
*PERIOD OF AUDIT	
*NAME OF THE AUDITING ORGANISATION	Aartfirm residency
*Date on which VAPT Report presented to IT Committee for REs:	16-07-2026
SIGNATURE:	
*Name of the signatory:	Soham Naik
*Designation (choose whichever applicable): MD/ CEO/ Board member/ Partners/ Proprietor	MD

5. In the first upload section, titled "Minutes of the Meeting (MoM) of the IT Committee in which the VAPT Report was approved," upload the relevant MoM document.

1. Minutes of the Meeting (MoM) of 'IT Committee for REs' 16-Jul-2026 in which the VAPT report was approved.

2. VAPT report as submitted by the auditor.

* Minutes of the Meeting (MoM) of 'IT Committee' in which the VAPT report was approved. :	Choose File No file chosen	Upload
---	----------------------------	--------

6. For the second upload section, click **"Save"** and then **"Generate PDF."** In the **RE's Declaration** section, upload the generated PDF duly signed by the Board Member, and then click **"Upload & Save."**

*RE's Authorised signatory declaration:	☒ I/ We hereby confirm that the information provided herein is verified by me/ us and I/ we shall take the responsibility and ownership of this VAPT report.	
* RE's Declaration :	Choose File No file chosen	Upload & Save

Note: Please click "Submit to CDSL" to complete the final submission.

Save	Generate PDF
------	--------------

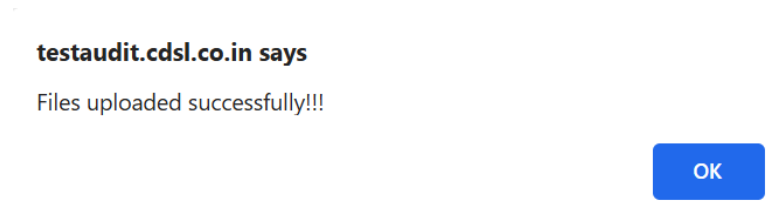


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7. The following message is displayed upon successful upload of the file.



8. Once the “**File Uploaded Successfully**” pop-up message is displayed, click on “**Submit to CDSL**” to complete the submission process.



If any error is faced while uploading the report/declaration/submitting the form/file, request you to send an email on **dpinfosec@cdslindia.com** along with the screenshot of the error.
