
NATIONAL COMMODITY & DERIVATIVES EXCHANGE LIMITED

Circular No. : NCDEX/Member Tech Compliance-015/2026
Date : July 06, 2026
Subject : Advisory for Financial Sector Organizations regarding Software as a Service (SaaS) based solutions

The Securities and Exchange Board of India (SEBI) has issued a Circular No. SEBI/HO/MIRSD2/DOR/CIR/P/2020/221 dated November 03, 2020, Exchange circular no. NCDEX/Member Tech Compliance-001/2023 dated July, 13 2023 on "Advisory for Financial Sector Organizations regarding Software as a Service (SaaS) based solutions"

The compliance of the advisory shall be reported by Member on half yearly basis that is for the period January 2026 to June 2026, trading members availing/utilizing Software as a Service (SaaS) based solutions/applications are required to submit the report before July 31, 2026. The report is to be submitted through NCFE portal under the Tab '**Compliance**', Sub tab '**Information Security**', select "**Compliance of the SEBI circular regarding Software as a Service (SaaS) based solutions**" or Email Id: - infosec@ncdex.com.

Portal Link - [Compliance of the SEBI circular regarding Software as a Service \(SaaS\) based GRC solutions.](#)

Additionally, with reference to Exchange circular no. NCDEX/COMPLIANCE-051/2025 dated September 29, 2025, regarding technology based sharing mechanisms of common submissions among Exchanges, Members of the Exchange who are also registered with NSE shall submit their SaaS reporting to NSE only. Members of the Exchange who are not registered with NSE shall continue to make submissions to the Exchange as per existing process.

Members are requested to take note of the above and ensure compliance.

For and on behalf of
National Commodity & Derivatives Exchange Limited

Ravindra Shetty
Senior Vice President – Member Tech Compliance