NATIONAL COMMODITY & DERIVATIVES EXCHANGE LIMITED

Circular to all members of the Exchange

Circular No. : NCDEX/Member Tech Compliance-014/2026

Date : July 3 ,2026

Subject : Quarterly Cyber Incident reporting under Cyber Security & Cyber Resilience Framework for Regulated Entities (REs)

This is with reference to SEBI circular no. SEBI/HO/ ITD-1/ITD_CSC_EXT/P/CIR/2024/113 dated August 20, 2024 and Exchange circular no. NCDEX/COMPLIANCE-062/2024 dated August 26, 2024 on Cyber Security & Cyber Resilience framework for SEBI Regulated Entities (REs).

In view of the above, REs/trading members are required to report Cyber Incident(s) for the quarter ending June 30, 2026, through NCFE portal under the Tab '**Compliance**', Sub tab **'Information Security**', Portal Link - Cyber Security Incident Reporting or on Exchange Email Id: - **infosec@ncdex.com** within 15 days i.e. **July 15, 2026.**

In addition to the above for reporting of Immediate Cyber Incident, REs/trading members are requested to refer to Exchange circular no. NCDEX/Member Tech Compliance-002/2025 dated January 09, 2025 on Standard Operating Procedure (SOP) for handling Cyber Security Incidents

Additionally, with reference to Exchange circular no. NCDEX/COMPLIANCE-051/2025 dated September 29, 2025, regarding technology based sharing mechanisms of common submissions among Exchanges, Members of the Exchange who are also registered with NSE shall submit their Quarterly incident reporting to NSE only. Members of the Exchange who are not registered with NSE shall continue to make submissions to the Exchange as per existing process.

Trading Members are requested to refer **Annexure A- Uniform Penalty Structure Exchange** Circular Ref No. NCDEX/MEMBER INSPECTION-009/2026 dated April 17, 2026, on actions for non-compliances observed in periodic submissions by trading members related to the Quarterly Cyber Incident Reporting. The details of financial disincentive(s)/ Penalties/ disciplinary action(s) have been provided in **Annexure 1**.

All Trading Members are advised to take note of the above and comply.

For and on behalf of

National Commodity & Derivatives Exchange Limited

Ravindra Shetty
Senior Vice President – Member Tech Compliance

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Annexure – 1

Indicative penalty for non-submission / late submission of cyber incident reporting (quarterly submission) within the time specified by the exchange.

The following penalty/ disciplinary actions would be initiated against the Trading Member for late/non-submission in this regard:

Details of Violation/contravention	Action in case of first instance	Action in case of repeat instance
Delay/Non-submission of Cyber Incident reporting (Quarterly Submission) within the due date	1.Charges Rs. 1,500/- per day for Non QRE & Rs. 3,000/- per day for QRE from the due date till first 7 calendar days or submission of report, whichever is earlier. 2.Charges of Rs. 2,500/- per day for Non QRE & Rs. 5,000/- per day for QRE from 8th calendar day after the due date to 21st calendar day or submission of report, whichever is earlier. 3.In case of non-submission of report till 21st calendar days, new client registration shall be prohibited and notice of 7 calendar days for disablement of trading facility till submission of report, shall be issued. 4.The disablement notice issued to the member will be shared with all the Exchanges for information. 5.In case of non-submission of report by 28th calendar day, Member shall be disabled in all segments till submission of report.	2nd Time & Onwards -Levy of applicable monetary penalty along with an escalation of 50%. In case of non-submission of report till 21st calendar days, new client registration shall be prohibited and notice of 7 calendar days for disablement of trading facility till submission of report, shall be issued. The disablement notice issued to the member will be shared with all the Exchanges for information. In case of non submission of report by 28th calendar day, Member shall be disabled in all segments till submission of report.

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