



# Central Depository Services (India) Limited

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## COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

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CDSL/OPS/DP/POLCY/2026/355

May 27, 2026

### **AMENDMENTS TO DP OPERATING INSTRUCTIONS CHAPTER - 11**

DPs are advised to note that amendments have been incorporated in CDSL's DP Operating Instructions [OI] **Chapter 11 – Penalties for violations, irregularities, non-compliances or delay in compliances**, basis on SEBI suggestion.

The amendments to Chapter 11 of the DP OI are also attached herewith in track change mode refer to Annexure A.

DPs are advised to take note of the same.

Queries regarding this communiqué may be addressed to: CDSL – Helpdesk Emails may be sent to: [dprtasupport@cdslindia.com](mailto:dprtasupport@cdslindia.com) and connect through our IVR Number 022-62343333.

**For and on behalf of  
Central Depository Services (India) Limited**

sd/-

**Sheron Sawant  
Asst. Vice President – Operations**

<b>11. PENALTIES FOR VIOLATIONS, IRREGULARITIES, NON-COMPLIANCES OR DELAY IN COMPLIANCES</b>		
<b>Sr. No</b>	<b>Existing Process</b>	<b>New Process</b>
<b>11.11</b>	<b>General guidelines for imposition of penalty on DPs</b>	
<b>11.11.1</b>	If the total monetary penalty levied on a particular DP in last 3 years exceed ₹1,00,000/-, the matter will be referred to Member Committee.	If the total monetary penalty levied on a particular DP in last 3 years exceed ₹1,00,000/-, the matter will be referred to Member Committee. <u>The said monetary penalty does not include the CISA penalty.</u>