



NOTICE

Notice No.	20260515-23
Notice Date	15 May 2026
Category	Trading
Segment	General
Department	Trading Operations
Subject	Periodic submission of System, Cyber, and VAPT Audit by Application Service Provider (ASP)
Attachments	Annexures

Registered ASPs of the Exchange are required to conduct periodic system audit of the Non-Exchange Trading Frontend and security controls built in their ASP platform.

In order to strengthen the cybersecurity measures in securities market and to ensure adequate cyber resilience against cybersecurity incidents/attacks, registered ASP vendors are also required to conduct periodic Cyber Security Audit & Vulnerability Assessment and Penetration Testing (VAPT) assessment audit on yearly basis for cyber security related controls built in their ASP platform.

The schedule for periodic submission of audit report and action taken report for system, cyber and VAPT audit are given in the below table:

Sr. No.	Report Type	Audit Period	Schedule for submission of Audit Report	Action Taken Report (If Applicable)
1	System Audit Report	01 st April to 31 st March	On or before 30 th June	On or before 30 th September
2	Cyber Audit Report	01 st April to 31 st March	On or before 30 th June	On or before 30 th September
3	VAPT Report	01 st April to 31 st March	On or before 30 th June	On or before 30 th September

In view of the above, ASP vendors are advised to take note of following guidelines for the conduct of System Audit, Cyber Audit and VAPT assessment.

Sr. No.	Particulars	Reference Annexures
1	Guideline for selecting Auditors for System Audit, Cyber Audit & VAPT	Annexure - A
2	Format for System Audit & Cyber Audit report & ATR	Annexure - B & Annexure - C
3	Formats of Declaration from Auditor, Assessment Details (scope), VAPT Audit report/Summary, in accordance with SEBI CSCRF	Annexure - D & Annexure - E
4	For System audit, Terms of Reference (TOR) of system audit	Annexure - F
5	For conduct of Cyber audit, Terms of Reference (TOR) of Cyber Audit	Annexure - G

Additionally, ASP Vendors are required to submit the System audit, Cyber audit & VAPT audit report after approval by Managing Director/Director/CTO or CISO.

Enclosures:

Annexure A – Auditor selection Norms

Annexure B – System audit report format

Annexure C – Cyber audit report format

Annexure D – Auditor’s declaration – VAPT Scope

Annexure E – Format for VAPT Summary report

Annexure F – Terms of Reference (TOR) applicable for System Audit

Annexure G – Terms of Reference (TOR) applicable for Cyber Audit

For further information and clarification, participants may contact the Exchange as follows:

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For and on behalf of BSE Ltd.

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