



Department: Inspection	Segment: All
Circular No: MSE/INSP/18918/2026	Date: April 22, 2026

Subject: Risk Based Supervision (RBS) - Submission of information for the period April 01, 2025 – March 31, 2026 (Financial Year ended March - 2026)

To All Trading Members,

Members are requested to submit the information / data towards the Risk Based Assessment for the period April 01, 2025 – March 31, 2026, to the Exchange. The particulars of such information / data sought in this regard are enclosed as **Annexure - A**. The same has to be submitted to the Exchange electronically through the Inspection module in the Member portal latest by May 31, 2026.

Additionally, pursuant to representations received from the Brokers' Industry Standards Forum for ease of compliance and in consultation with SEBI, certain information/details shall be sourced by Exchanges internally and through other regulatory submissions made by members to Exchanges. Details of such data points are given in **Annexure B**. Members are advised to ensure timely submission and periodically update all such regulatory information.

User guide for submitting the same through the Inspection module in the Member portal is given in **Annexure - C** of this circular.

It is to be noted that the submission of RBS data is mandatory for all active Members of the Exchange (i.e. those who have executed even a single trade during the assessment period i.e. April 01, 2025 – March 31, 2026). Further, the data collated from the Members/Exchange towards RBS is shared with SEBI and in case of any non-submission/delayed submission by a Member, disciplinary action as prescribed in **Annexure - D** will be initiated.

All Members are advised to take note of the above & comply.

In case of any query kindly contact Inspection Department on (022) 6112 9000, Extn. 9039, 9040, 9041, 9042 & 9078 or mail on 'compliancemsx@mse.co.in' or 'inspectionmsx@mse.co.in'.

**For and on behalf of
Metropolitan Stock Exchange of India Limited**

**Sd/-
Anshu Bharti
Assistant Vice President– Inspection**

Metropolitan Stock Exchange of India Limited

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