

Circular No.: NSDL/POLICY/2026/0027

February 23, 2026

Subject: Facility for reporting of FATF Submission by DPs through NSDL ePASS Portal.

NSDL has been notifying the Participants about the Financial Action Task Force (FATF) public statements issued after each FATF Plenary meeting, as a part of the on-going efforts to identify and work with jurisdictions with strategic AML / CFT deficiencies. The links to these Public Statements are made available on the FATF website.

In this context, as a part of the established process, confirmations are sought from the Participant, confirming that the necessary actions are taken and complied with.

Actions may include:

- 1) Carrying out appropriate due- diligence on existing clients.
- 2) Making sure that appropriate alert mechanisms have been put in place to identify the business relationships and transactions with the jurisdictions
- 3) Any other measures taken by the DP to effectively implement the action required

In this regard, Participants are hereby informed that NSDL has developed a facility on the NSDL e-PASS (<https://www.epass.nsdl.com>). for submitting confirmations mentioned above.

The rights to the new module will be automatically assigned to the existing maker and checker (Compliance officer) user of the Participant. The e-PASS portal will be enabled, as and when the circular regarding FATF public statement on jurisdiction on call for action is issued.

The procedure and salient features of the new facility are enclosed as **Annexure A**.

Participants are advised to take note of the above and ensure compliance.

**For and on behalf of
National Securities Depository Limited**

**Rakesh Mehta
Vice President**



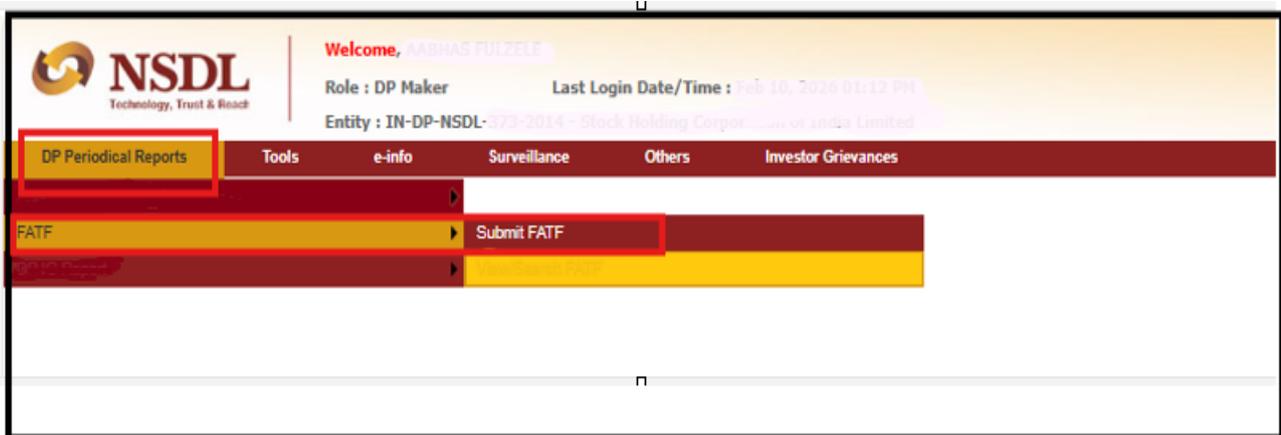
Participant Services Circular

FORTHCOMING COMPLIANCE

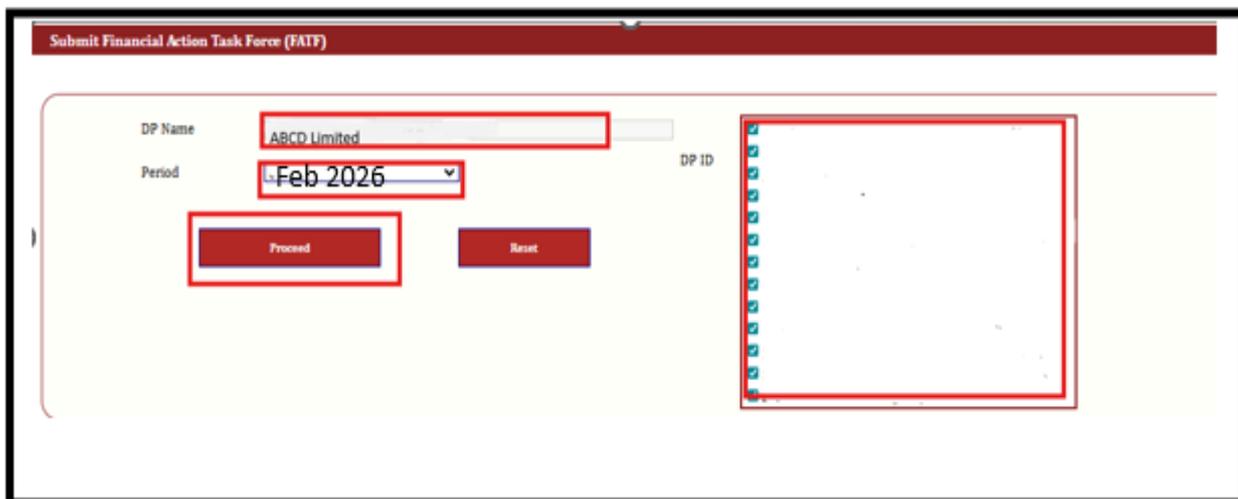
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month	Through e-PASS	Para 22 of 'Grievance Redressal' chapter and Para 27 of 'Internal Controls/Reporting to NSDL/SEBI' chapter of NSDL Master Circular for Participants
Compliance report w.r.t Same Mobile number and/ or email address captured for multiple accounts. (Monthly)	Before 27th of following month	Through Email.	Para 23 of 'Miscellaneous' chapter of NSDL Master Circular for Participants.

Annexure A
Procedure for FATF confirmation submission on ePASS Portal
1. Submission through Maker login:

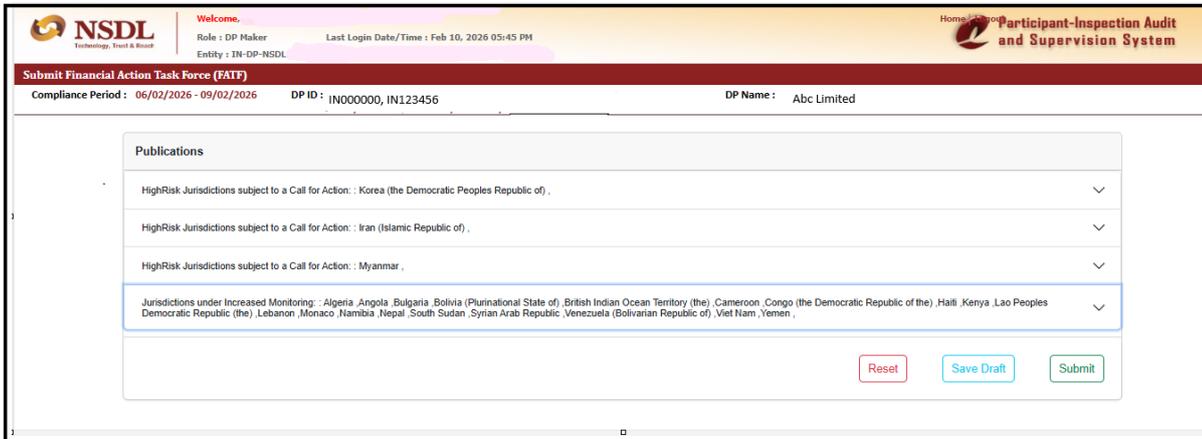
After login to **e-PASS**, navigate to menu '**DP Periodical Reports**' → '**FATF**' → '**Submit FATF**' as exhibited below:



Upon clicking on 'Submit FATF', DP ID of Participant will be shown (Multiple DP IDs will be shown in case of multiple DPM set-ups) and by default it will be Auto Checked. Click on dropdown to select the appropriate period and then click on 'Proceed' button as exhibited below:



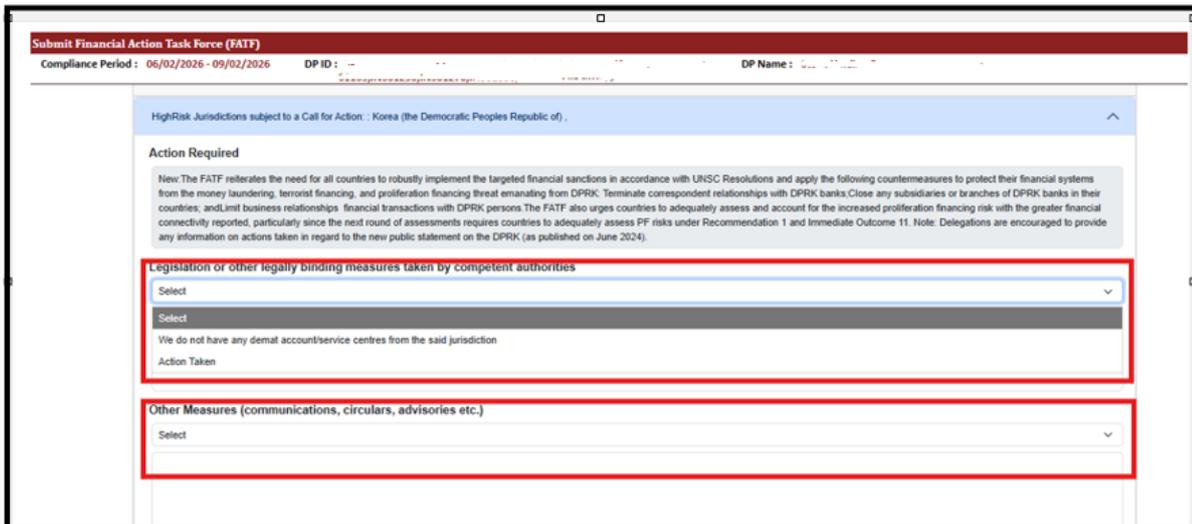
After clicking on 'Proceed', following screen will be shown as exhibited below:



Click on dropdown arrow on each Publication and fill the details required as exhibited.

Review the **action required**, displayed on the screen. Select the appropriate value from the **Select** dropdown.

In case Participants do not have any beneficiary account or branches/service centre from the jurisdictions mentioned in the FATF Public Statements then Participants should specify in the column of the: Legislation, or other legally binding measures taken by competent authorities (summary) – “We do not have any demat account/service centres from the said jurisdiction.” And if DP holds any clients/branches the click on action required along with the required statements and mention the details in ‘other measures’ (communications, circulars, advisories etc).



Once all required details across Publications have been filled, click **Submit**. The submission will then move to the **Checker** for verification.

Publications
HighRisk Jurisdictions subject to a Call for Action: : Korea (the Democratic Peoples Republic of) ,
HighRisk Jurisdictions subject to a Call for Action: : Iran (Islamic Republic of) ,
HighRisk Jurisdictions subject to a Call for Action: : Myanmar ,
Jurisdictions under Increased Monitoring: : Algeria ,Angola ,Bulgaria ,Bolivia (Plurinational State of) ,British Indian Ocean Territory (the) ,Cameroon ,Congo (the Democratic Republic of the) ,Haiti ,Kenya ,Lao Peoples Democratic Republic (the) ,Lebanon ,Monaco ,Namibia ,Nepal ,South Sudan ,Syrian Arab Republic ,Venezuela (Bolivarian Republic of) ,Viet Nam ,Yemen ,

Following confirmation message will appear once clicked on Submit.

Report successfully submitted to checker for Ref No. _____

2. Checker Login:

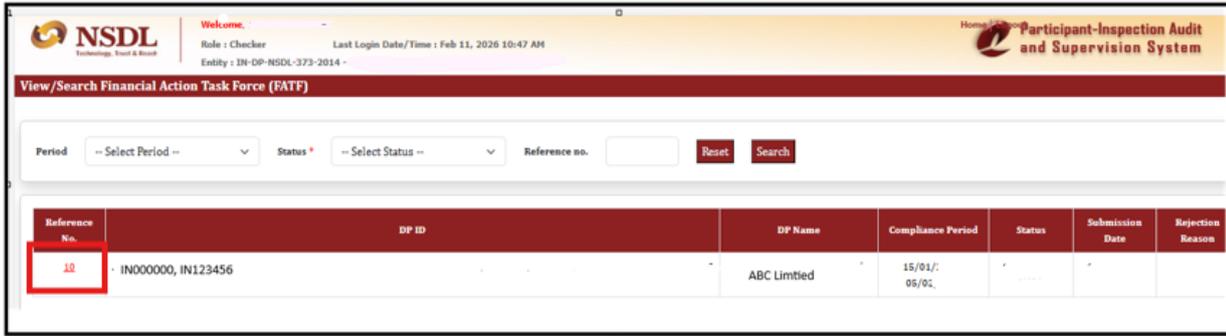
After login to **e-PASS**, navigate to menu '**DP Periodical Reports**' → '**FATF**' → '**View/Search FATF**' as exhibited below:

Once the required details are captured by Maker user, same will be required to be verified by the user having Checker rights for submission to NSDL.



The screenshot shows the NSDL e-PASS interface. The user is logged in as 'Role: Checker'. The navigation menu includes 'DP Periodical Reports', 'Tools', 'iAssist', 'Off site inspection module', 'Modules', 'DSE Upload', and 'Investor Grievances'. Under 'DP Periodical Reports', the following options are listed: 'Risk Environment Element', 'Cyber Audit Report', 'System Audit Report', 'Annual Income Return', 'FATF', 'WFO: Inspect', 'Quarterly report of Cyber incident', 'DP/IG Report', 'INFCAR Report', and 'AI and ML Report'. The 'FATF' option is highlighted, and a sub-menu is visible with the 'View/Search FATF' option highlighted.

After clicking on 'View Search' option following screen will be displayed. Checker can view the details by clicking on reference no.



NSDL Participant-Inspection Audit and Supervision System

View/Search Financial Action Task Force (FATF)

Period: -- Select Period -- Status: -- Select Status -- Reference no. [] [Reset] [Search]

Reference No.	DP ID	DP Name	Compliance Period	Status	Submission Date	Rejection Reason
12	IN000000, IN123456	ABC Limited	15/01/05/02			

After clicking on reference no. following screen will be displayed. Wherein Checker has option to 'Approve or Reject'



Publications

- HighRisk Jurisdictions subject to a Call for Action : Korea (the Democratic Peoples Republic of)
- HighRisk Jurisdictions subject to a Call for Action : Iran (Islamic Republic of)
- HighRisk Jurisdictions subject to a Call for Action : Myanmar
- Jurisdictions under Increased Monitoring : Algeria ,Angola ,Bulgaria ,Bolivia (Plurinational State of) ,British Indian Ocean Territory (the) ,Cameroon ,Congo (the Democratic Republic of the) ,Haiti ,Kenya ,Lao Peoples Democratic Republic (the) ,Lebanon ,Monaco ,Namibia ,Nepal ,South Sudan ,Syrian Arab Republic ,Venezuela (Bolivarian Republic of) ,Viet Nam ,Yemen

Rejection Reason: []

Approve Reject



Publications

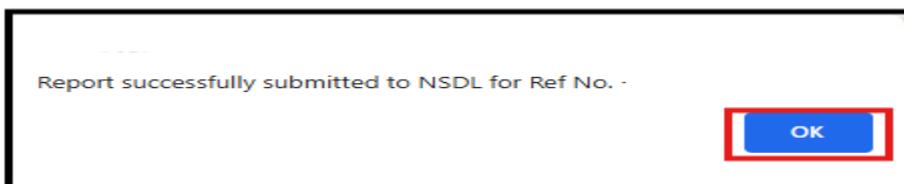
- HighRisk Jurisdictions subject to a Call for Action : Korea (the Democratic Peoples Republic of)
- HighRisk Jurisdictions subject to a Call for Action : Iran (Islamic Republic of)
- HighRisk Jurisdictions subject to a Call for Action : Myanmar
- Jurisdictions under Increased Monitoring : Algeria ,Angola ,Bulgaria ,Bolivia (Plurinational State of) ,British Indian Ocean Territory (the) ,Cameroon ,Congo (the Democratic Republic of the) ,Haiti ,Kenya ,Lao Peoples Democratic Republic (the) ,Lebanon ,Monaco ,Namibia ,Nepal ,South Sudan ,Syrian Arab Republic ,Venezuela (Bolivarian Republic of) ,Viet Nam ,Yemen

Rejection Reason: []

Approve Reject

[Submit]

Once the report is Approved it will be submitted to NSDL, details filed by Participant will get uploaded on **NSDL e-PASS**



Report successfully submitted to NSDL for Ref No. -

[OK]



It may be noted that, report captured by Maker cannot be edited by Checker. In case any changes are required to be done in report captured by Maker, then same will have to be returned to Maker by Checker. For sending back the report to Maker, reason for sending back the report is required to be provided mandatorily in the text box '**Rejection Reason**'. In respect of report(s) returned by Checker, maker will have to make the necessary changes by following the steps/ process as mentioned in point no. 1 above and checker will have to approve/submit report by following the steps/ process mentioned at point no. 2 above.

In case there is any change in details of Checker User i.e. Compliance Officer's/ Alternative Compliance Officer's name, email ID and mobile number, Participants are advised to update the details through e-PASS as per the procedure mentioned in Annexure Q under Chapter 11 (Internal Controls) of NSDL Master Circular for Participants.

