

Department: Inspection	Segment: All
Circular No: MSE/INSP/17936/2025	Date: October 08, 2025

Subject: Risk Based Supervision (RBS) – Submission of information for the period April 01, 2025 – September 30, 2025 (Half Year ended September - 2025)

To All Members,

Members are requested to submit the information / data towards the Risk Based Assessment for the period April 01, 2025 – September 30, 2025, to the Exchange. The particulars of such information / data sought in this regard are enclosed as **Annexure-A**. The same has to be submitted to the Exchange electronically through Risk Based Supervision in Enhanced Supervision Portal latest by **November 30, 2025**.

The link for submission of the information/data will be activated from **October 15, 2025**. The procedure for submitting the same through Risk Based Supervision in Enhanced Supervision Portal is given in **Annexure-B** of this circular.

It is to be noted that the submission of RBS data is mandatory for all active Members of the Exchange (i.e. those who have executed even a single trade during the assessment period i.e. April 01, 2025 – September 30, 2025). Further, the data collated from the Members / Exchange towards RBS is shared with SEBI and in case of any non-submission / delayed submission by a Member, disciplinary action as prescribed in **Annexure-C** will be initiated.

All Members are advised to take note of the above and comply.

In case of any query kindly contact Inspection Department on (022) 6112 9000 or mail on compliancemsx@msei.in.

For and on behalf of
Metropolitan Stock Exchange of India Limited

Sunil Shendge
Manager - Inspection Department

Metropolitan Stock Exchange of India Limited