



# Central Depository Services (India) Limited

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## COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/IS/DP/POLCY/2025/431

June 26, 2025

### FRAMEWORK FOR ADOPTION OF CLOUD SERVICES

DPs are advised to refer to SEBI circular No: SEBI/HO/ITD/ITD\_VAPT/P/CIR/2023/033 dated March 06, 2023, and CDSL/OPS/DP/POLCY/2023/149 March 09, 2023, regarding Framework for Adoption of Cloud Services by SEBI Regulated Entities.

In view of the above, Depository Participants are hereby informed that CDSL has a facility for online submission for Framework for adoption of Cloud Services reporting through an audit web portal. Depository Participants must submit a cloud Compliance Framework report to CDSL, the deadline is on or before **15<sup>th</sup> July 2025 in audit web portal.**

For submitting the **Cloud Compliance Framework report** to CDSL, please refer **Annexure A.**

Queries regarding this communiqué may be addressed to CDSL –emails may be sent to: [dpinfosec@cdslindia.com](mailto:dpinfosec@cdslindia.com) and connect through our IVR Number 022-62343333.

For and on behalf of  
**Central Depository Services (India) Limited**

sd/-

**Mrugen Vijay Munjpara**  
**Assistant Vice President – Information Security**



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### Annexure A

#### Guidelines to submit Cloud Compliance Framework report

1. Open the Audit Web Portal.
  - Link: <https://auditweb.cdslindia.com/Login.aspx>
  - Click on Login Type and select “**Designated Officer**” login.

The screenshot shows the 'AUDIT APPLICATION' header and the 'SIGN IN' form. The 'Login Type' dropdown menu is open, and 'Designated Officer' is highlighted with a red box. Other options in the dropdown include Auditor, DP, RTA, CDSL\_Staff, Auction Committee, Bidding Participant, IS\_Auditor, DP\_Admin, RTA\_Admin, and General\_Admin. Below the form are links for 'Forgot password' and 'Registration for DP / RTA'. The footer contains the copyright notice: 'Copyright © 2019 - Audit Team, Central Depository Services (India) Ltd. All rights reserved.'

2. Fill the below required information and click on “**Sign In**” Button:
  - User ID, Password & Captcha

The screenshot shows the 'AUDIT APPLICATION' header and the 'SIGN IN' form. The 'Login Type' dropdown is set to 'Designated Officer'. The 'User ID' field contains '741910\_ks', the 'Password' field is masked with dots, and the 'Captcha' field contains '7afff1'. A 'Sign In' button is visible at the bottom of the form. Below the form are links for 'Forgot password' and 'Registration for DP / RTA'. The footer contains the copyright notice: 'Copyright © 2019 - Audit Team, Central Depository Services (India) Ltd. All rights reserved.'



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### 3. Enter the OTP:

- You will receive the OTP on both your DP's registered mobile number and email Id.



### AUDIT APPLICATION

**LOGIN OTP**

Enter OTP

Enter OTP

OTP Time :- 00:09:57 Do not refresh the page

Verify OTP

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### 4. Select required information for submitting **Cloud Framework compliance** report:

- Select Audit Type: **CLOUD FRAMEWORK COMPLIANCE**
- Select Audit Month: **Select month/year**
- Select DP/RTA: **Select your DP ID**
- Click on the **Confirm** Button

**AUDIT APPLICATION**

Select Audit Type

Select Audit Month

Select DP / RTA

CLOUD FRAMEWORK COMPLIANCE

Confirm

[View Cyber Report](#) [VAPT Compliance](#) [VAPT Resubmission](#)  
[Cyber Audit Compliance Report](#) [Cyber RCA Report](#)



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### 5. The following screen will appear.

- General Information
- Details of Cloud Deployments
- Principle Wise Compliance
- Final Submission

AUDIT APPLICATION

GENERAL INFORMATION	^
DETAILS OF CLOUD DEPLOYMENTS	v
PRINCIPLE WISE COMPLIANCE	v
FINAL SUBMISSION	v

### 6. Click on General Information.

- DP ID, DP Name and Entity Type is auto populated.
- Enter the Name of Compliance Officer.
- Select the Option from Drop Down Menu “Yes/No”, whether the DPs is Availing the Cloud Services.

GENERAL INFORMATION

\* All Fields Are Mandatory

\* DP ID  \* DP NAME

\* ENTITY TYPE  \* NAME OF COMPLIANCE OFFICER

\* IS THE ENTITY AVAILING CLOUD SERVICES

Save

Yes

No



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7. If the DPs is not using Cloud Services, select the “No” option & Click on the submit Button.

“Saved Successfully” message will be displayed on the screen.

GENERAL INFORMATION

\* All Fields Are Mandatory

\* DP ID  \* DP NAME

\* ENTITY TYPE  \* NAME OF COMPLIANCE OFFICER

\* IS THE ENTITY AVAILING CLOUD SERVICES

Saved Successfully!

8. Click on Final submission Tab & click on “Submit to CDSL” button.

“Submitted TO CDSL!” message will be displayed on the screen.

AUDIT APPLICATION

CLOUD FRAMEWORK COMPLIANCE

GENERAL INFORMATION

FINAL SUBMISSION

Submitted TO CDSL!



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9. If DPs are using Cloud Services, select the “Yes” option and click on the “Submit” Button. Once the Information is submitted “Saved Successfully” message will be displayed on the screen.

GENERAL INFORMATION

\* All Fields Are Mandatory

\* DP ID  \* DP NAME

\* ENTITY TYPE  \* NAME OF COMPLIANCE OFFICER

\* IS THE ENTITY AVAILING CLOUD SERVICES

**Saved Successfully!** [Back](#)

10. If Cloud Services are availed, below information should be filled by the DP.

- Select the Compliance Status i.e. Complied, Not Complied & Not applicable.
- Compliance Date.
- Reason Why the Principle is Not Complied/Applicable by the Entity.

After entering all the details click on the **SAVE** button

PRINCIPLE WISE COMPLIANCE

\* SYSTEM/APPLICATION ID  Add New

HAS THE ENTITY COMPLIED WITH THE BELOW PRINCIPLES	COMPLIANCE STATUS	COMPLIANCE DATE	REASON WHY THE PRINCIPLE IS NOT COMPLIED/APPLICABLE BY THE ENTITY
* GOVERNANCE, RISK AND COMPLIANCE	Complied	dd-mm-yyyy	
* SELECTION OF CSPs	Not Complied	18-06-2025	xxxxxxxx
* DATA OWNERSHIP AND DATA LOCALIZATION	Complied	dd-mm-yyyy	
* RESPONSIBILITY OF THE REGULATED ENTITY	Not Applicabl	dd-mm-yyyy	xxxxxxxx
* DUE DILIGENCE BY THE REGULATED ENTITY	Complied	dd-mm-yyyy	
* SECURITY CONTROLS	Complied	dd-mm-yyyy	
* CONTRACTUAL AND REGULATORY OBLIGATIONS	Complied	dd-mm-yyyy	
* BUSINESS CONTINUITY PLANNING (BCP), DISASTER RECOVERY & CYBER RESILIENCE	Complied	dd-mm-yyyy	
* VENDOR LOCK-IN AND CONCENTRATION RISK MANAGEMENT	Complied	dd-mm-yyyy	

[Save](#) **Saved Successfully!**



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### 11. Fill in the Details mentioned in Cloud Deployments.

DETAILS OF CLOUD DEPLOYMENTS

* SYSTEM/APPLICATION ID	1
* NAME OF THE APPLICATION/ SERVICE/ SYSTEM	ssss
* THE TYPE OF DEPLOYMENT MODEL UTILIZED	Private Cloud
* THE TYPE OF SERVICE MODEL UTILIZED	IaaS
* NAME OF THE CLOUD SERVICE PROVIDER (CSP)	ssss
* COUNTRY OF INCORPORATION/ REGISTRATION OF CSP	ss
* NAME OF THE MANAGED SERVICE PROVIDER (MSP) / SYSTEM INTEGRATOR (SI) [WHEREVER APPLICABLE]	ss
* COUNTRY OF INCORPORATION/ REGISTRATION OF MSP/ SI	ssss
* WHETHER THE APPLICATION/ SERVICE/ SYSTEM IS A CRITICAL OR CORE APPLICATION/ SERVICE/ SYSTEM?	ssss
* DETAILS OF DATA HOSTED/ STORED IN CLOUD	ss
* WHETHER DATA IS STORED WITHIN THE LEGAL BOUNDARIES OF INDIA?	ssss

Saved Successfully!

### 12. Attach the Cloud Compliance Report and Click on “Submit” Button.

Click on "Attach Files" to upload Cloud Compliance Report. Once file is save click on submit to CDSL button.

“Saved Successfully” message will be displayed on the screen.

FINAL SUBMISSION

\*  
Choose File No file chosen

File Saved

Submitted TO CDSL!



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### **DPs are advised to take note of the following:**

- If the compliance status for any clause in the checkpoint description is marked as "Complied" the fields for Compliance date & Reason why it is Not Complied/Applicable will be disabled.
- If the compliance status for any clause in the checkpoint description is marked as "Not Complied" then Compliance Date & Reason why it is Not Complied/Applicable fields are mandatory.
- If the compliance status for any clause is marked as "Not Applicable" then Reason why it is Not Applicable fields are mandatory.
- The Cloud compliance report must be on the letterhead containing the name of the Compliance officer valid signature.
- The compliance report must include the DP Name and DP ID.
- Compliance status must be clearly stated as Complied / Not Complied / Not Applicable.
- Kindly ensure each subpoints are duly filled in and SAVED before submitting it to CDSL. If the details are not saved prior to submission, the data may not be recorded in the system which may result in incomplete or missing information at the time of submission. If any error is faced while uploading the report/ submitting the form, request you to send an email on [dpinfosec@cdslindia.com](mailto:dpinfosec@cdslindia.com) along with the screenshot of the error.

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